

Advancements in Risk- and Loss-Based Methodologies for Large-Scale Assessment of Non-Ductile Infilled Reinforced Concrete Buildings



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ROSE Centre

Centre for Training and Research
on Reduction of Seismic Risk

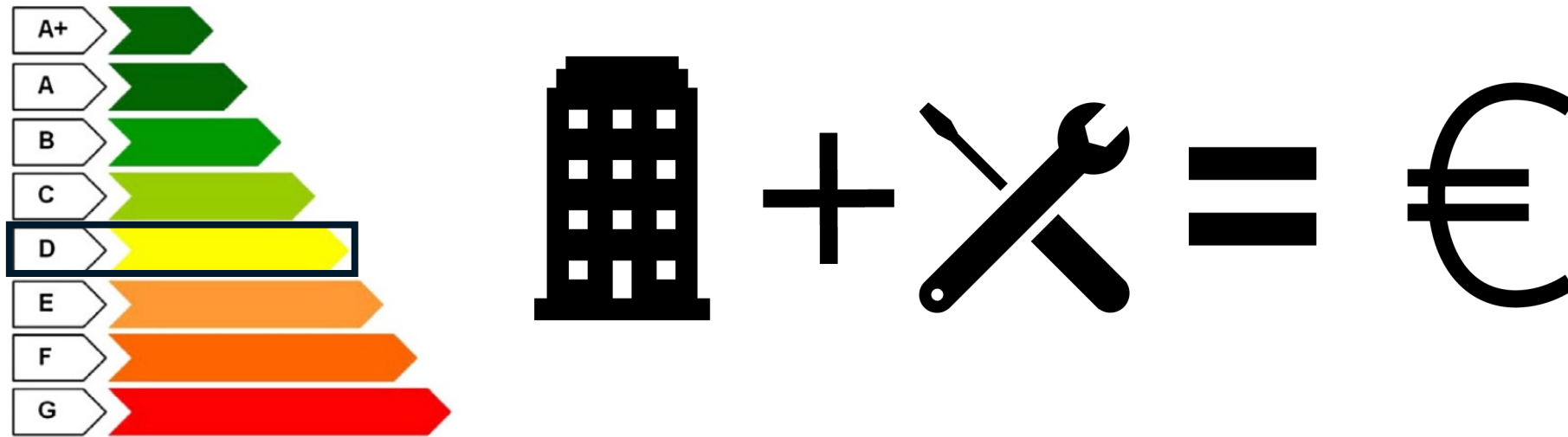
Web: www.iusspavia.it/rose Email: rose@iusspavia.it

- Infilled RC buildings occupy a significant portion of the regional building stock
- The majority of Italian RC buildings were constructed before the introduction of modern seismic codes:
 - Before 1970s: Gravity loads (GLDs)
 - 1970s – 1980s: ELF method (SSDs)
 - URM panels were considered as non-structural elements
- Post-earthquake reports highlighted the vulnerability of the existing regional building stock to ground-shaking events



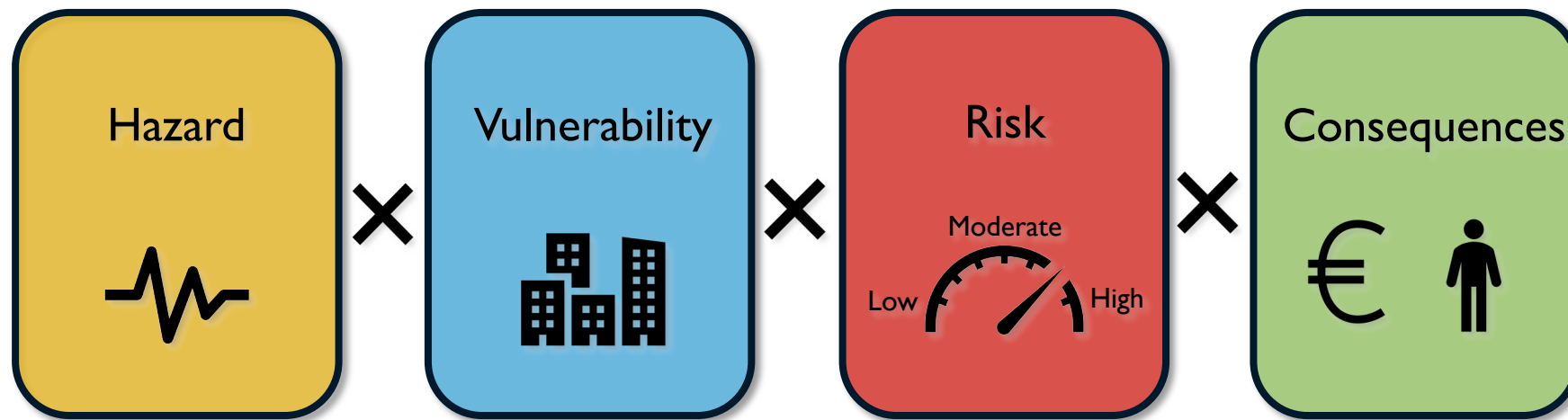
Joint Reconnaissance EUCENTRE-ReLUI5, Turkey-Syria
Earthquake 2023 - Final report

- Urgency for risk classification methodologies for informed decision-making to carry out building safety tagging and prioritization of retrofitting actions
- In Italy, *Sismabonus* is an incentive that allows you to deduct the expenses incurred to carry out seismic risk reduction work, improving the seismic class of the property that is the subject of the intervention



- *Decreto Ministeriale. [2017] Linee Guida per la Classificazione del Rischio Sismico delle Costruzioni - 58/2017, Il ministero delle infrastrutture e dei trasporti, Rome, Italy.*
- *Decreto Legge [2020] Misure urgenti in materia di salute, sostegno al lavoro e all'economia, nonché di politiche sociali connesse all'emergenza epidemiologica da COVID-19 – 34/2020, Rome, Italy*

- A fast, simple and reliable decision-support methodology for the building-specific loss assessment of non-ductile infilled RC structures (PB-Loss)
- Enables practitioners to deal with sophisticated concepts behind modern PSA simplistically
- PB-Loss integrates:
 - Open-access tools and models for the characterization of seismic hazard
 - Strength-deformation relationships and robust approximations for the quantification of seismic vulnerability
 - High-fidelity mathematical models and state-of-the-art methods for the estimation of seismic risk and losses

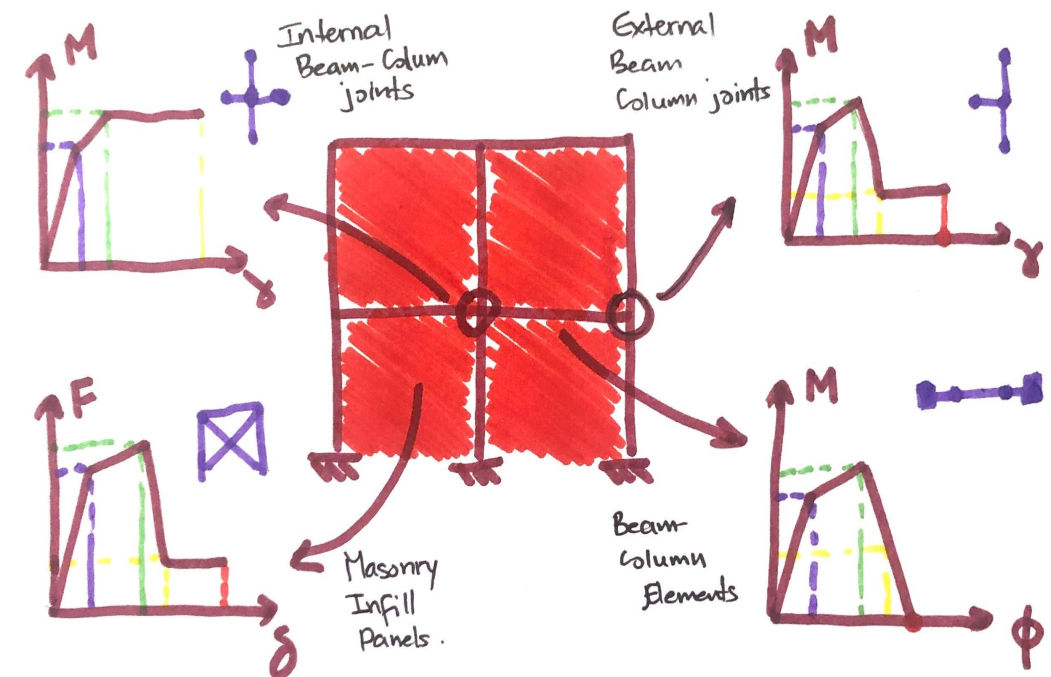


Fundamental components of performance-based earthquake assessment

Development of a database for infilled RC archetype building numerical model

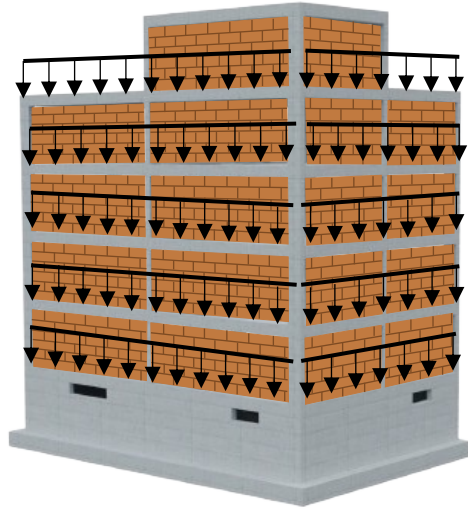
Nafeh, A.M.B. & O'Reilly, G.J.,

- Unbiased simplified seismic fragility estimation of non-ductile infilled RC structures, *Soil Dynamics and Earthquake Engineering*, Volume 157, 2022, 107253, ISSN 0267-7261, <https://doi.org/10.1016/j.soildyn.2022.107253>.



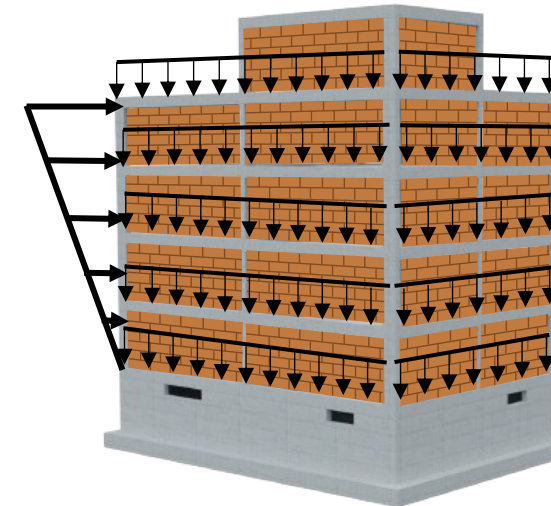
- Design space considerations through identification of the geographic construction practice

Pre-1970s
(GLD)



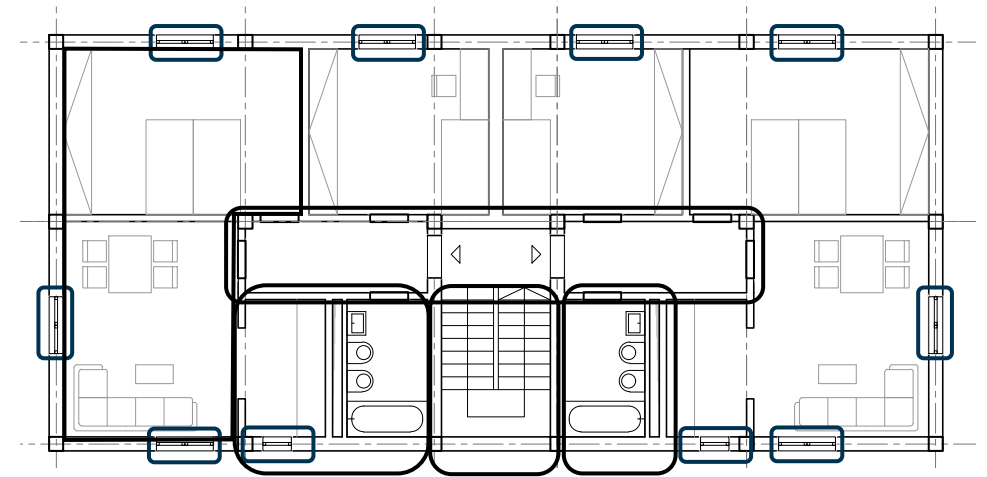
- Gravity loads only
- Allowable stress method (RD 2229/39)
- Smooth rebars with a low yield strength (≈ 325 MPa)
- Concrete with low compressive strength (≈ 25 MPa)
- Low shear reinforcement ratios
- Inadequate detailing of beam-column joints
- Frames spanning in one direction

-
- ELF method (Seismic coefficient 5-10%)
 - Allowable stress method
 - Deformed rebars with typical yield strength (≈ 430 MPa)
 - Concrete with moderate compressive strength (≈ 28 MPa)
 - Low shear reinforcement ratios
 - Frames spanning in one (or both) direction

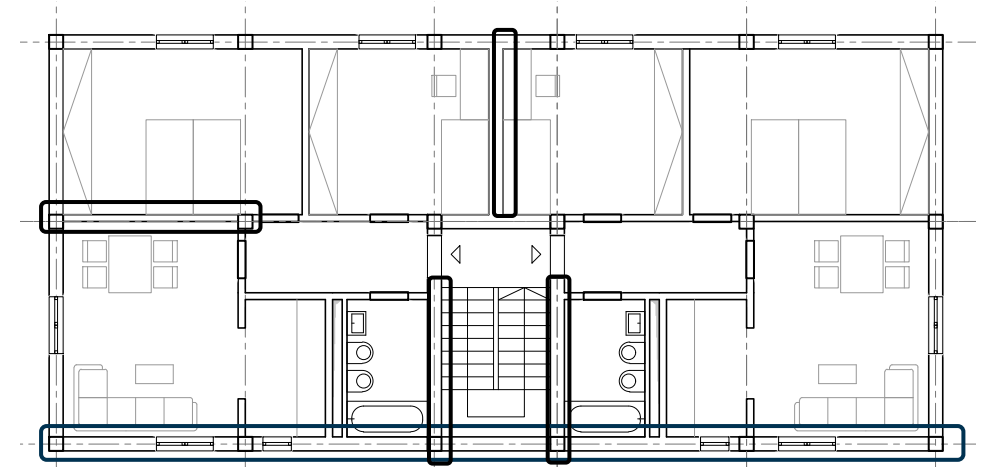


1970s-1980s
(SSD)

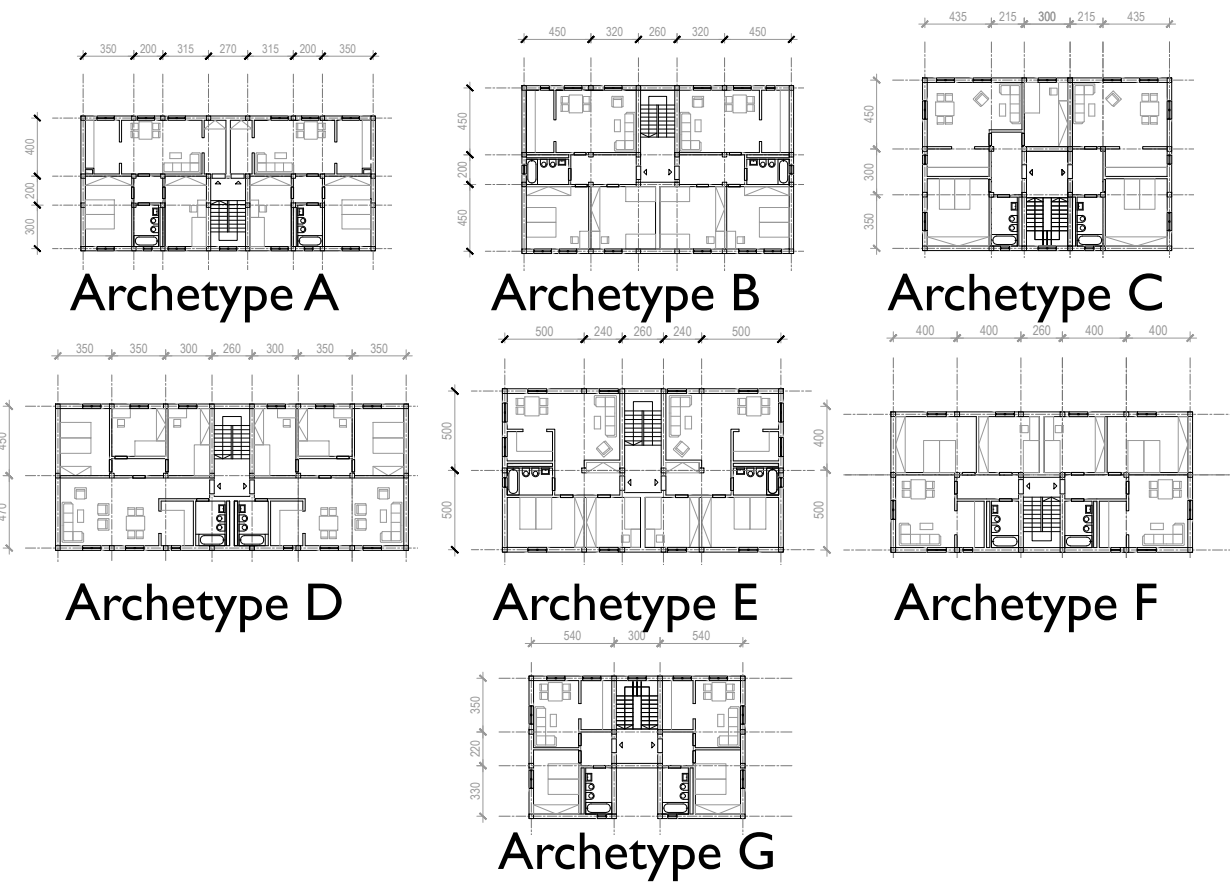
- Geometric configuration and architectural features selected to reflect the function and form of the Italian design space over different building periods
- Expert architectural judgment following numerous consultation with practitioners and architects
- Features include:
 - Narrow hallways and corridors in dwellings, generally 150 cm wide
 - Adjacent kitchens and bathrooms
 - Plumbing fixtures (e.g. bathtubs, sinks and bidets) installed based on optimized space allocation
 - Adequate separation of the day and night living spaces
 - Windows with widths in multiples of 45 or 60 cm
 - Staircase width not exceeding 3 m (i.e. wide enough to allow the passage of two people) and landings depth not exceeding 1.3 m



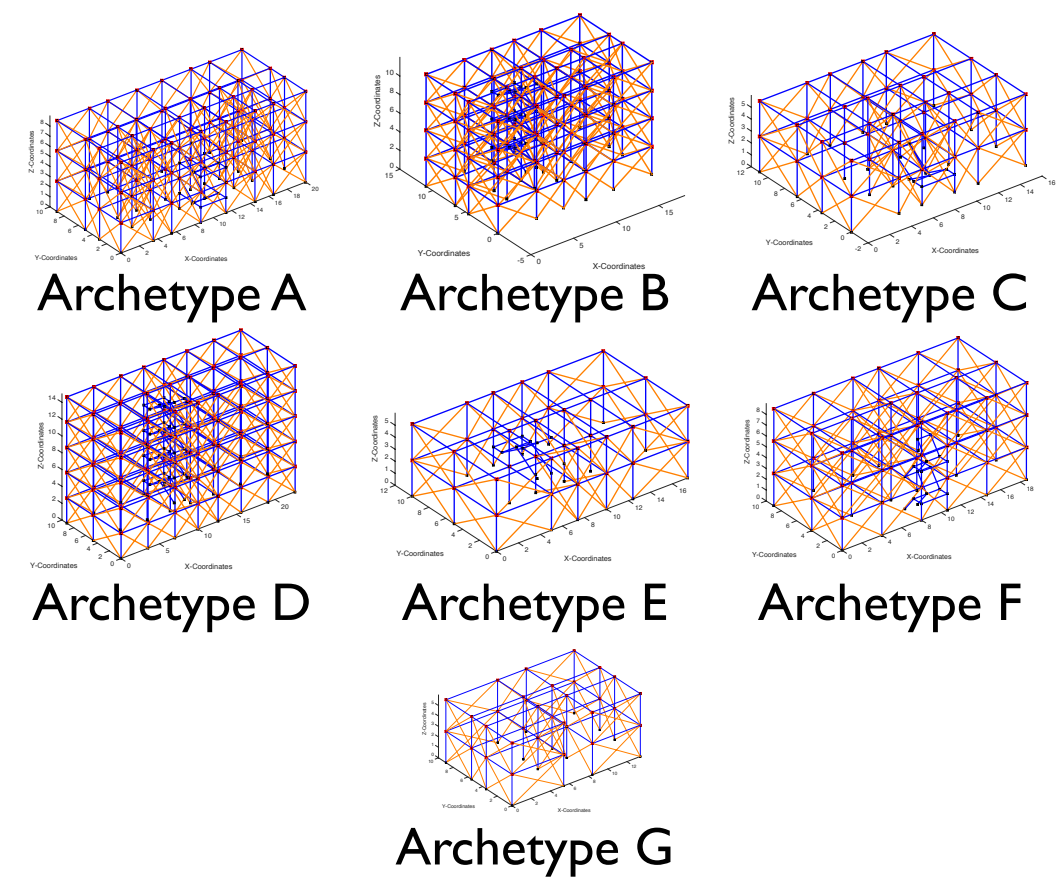
- Geometric configuration and architectural features selected to reflect the function and form of the Italian design space over different building periods
- Expert architectural judgment following numerous consultation with practitioners and architects
- Features include:
 - Double-leaf masonry infills for thermal and acoustic insulation and fire-retarding
 - 24 cm infill panels for perimeter walls of the façade
 - 30 cm infill panels for the separation of dwellings and encasing of the staircase
 - 80 mm single-leaf masonry infills for Internal partitioning



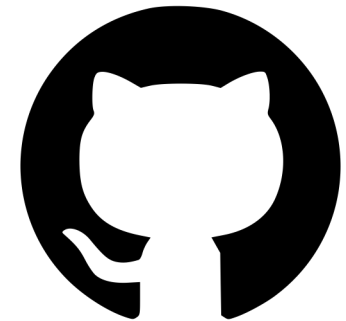
Architectural Layouts



Numerical Models



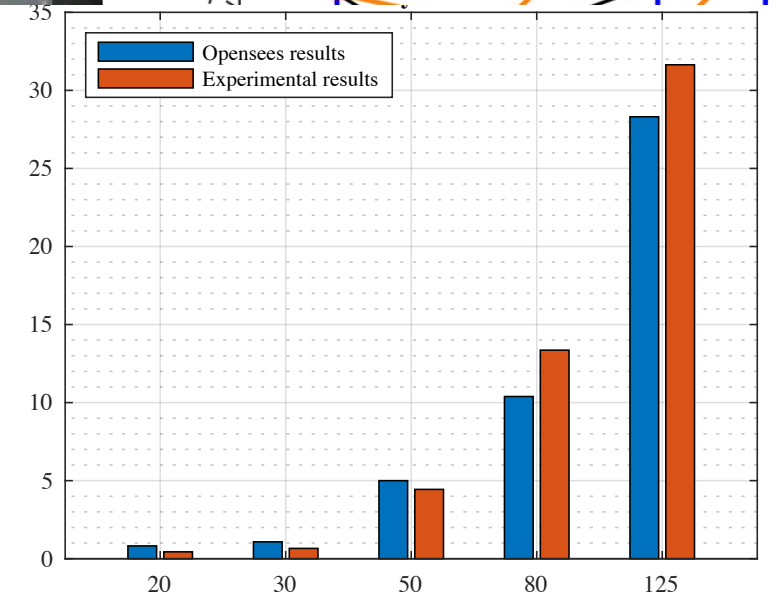
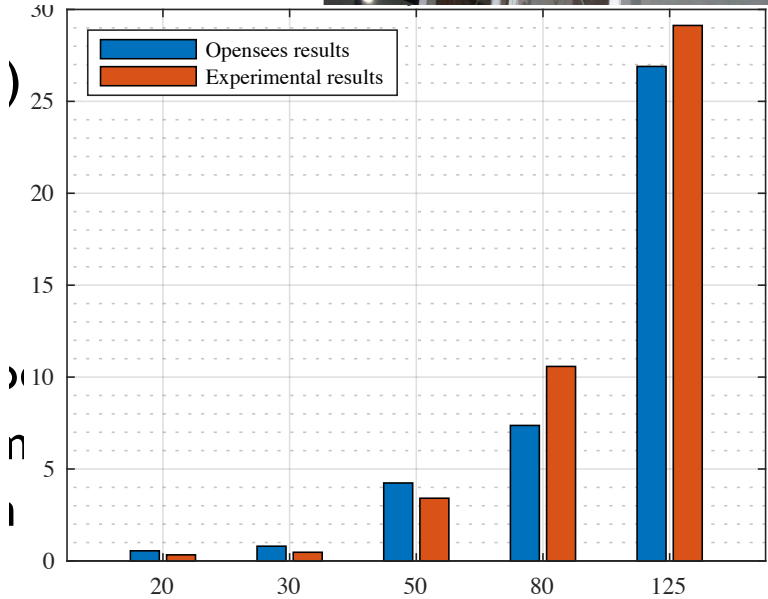
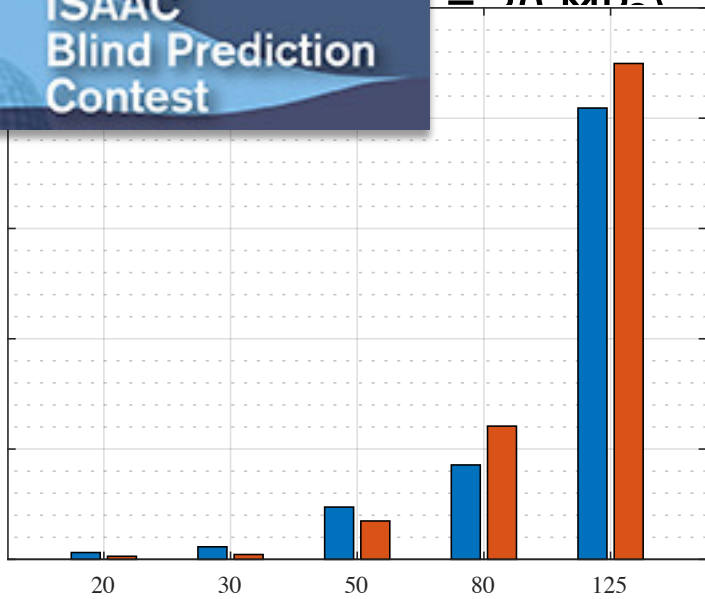
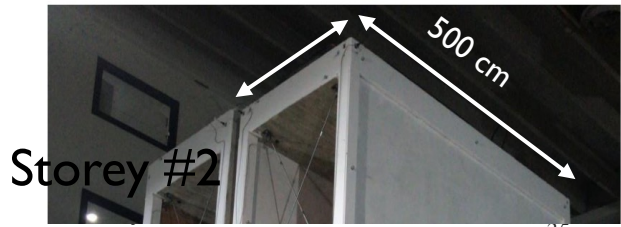
- Database Availability
 - Open-access and available on GitHub: <https://github.com/gerardjoreilly/Infilled-RC-Building-Database>
 - Versatile and customizable
 - Hazard-consistent ground-motion records representative of
 - Low Hazard (Milano)
 - Moderate Hazard (Napoli)
 - High Hazard (L'Aquila)
 - Conditioned on $S_a(T=0.2-0.6s)$
 - Conditioned on $S_{a_{avg}}(T=0.2-0.6s)$
 - Master files for running
 - Static and quasi-static procedures: SPO and CPO
 - Nonlinear time-history analyses: IDA and MSA



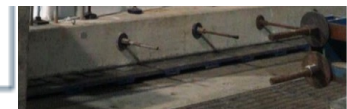


Relative Displacement [mm]

RC building
($f_c = 20 \text{ MPa}, F_u = 450 \text{ MPa}$)



Intensity %



Y-Coordinates
X-Coordinates



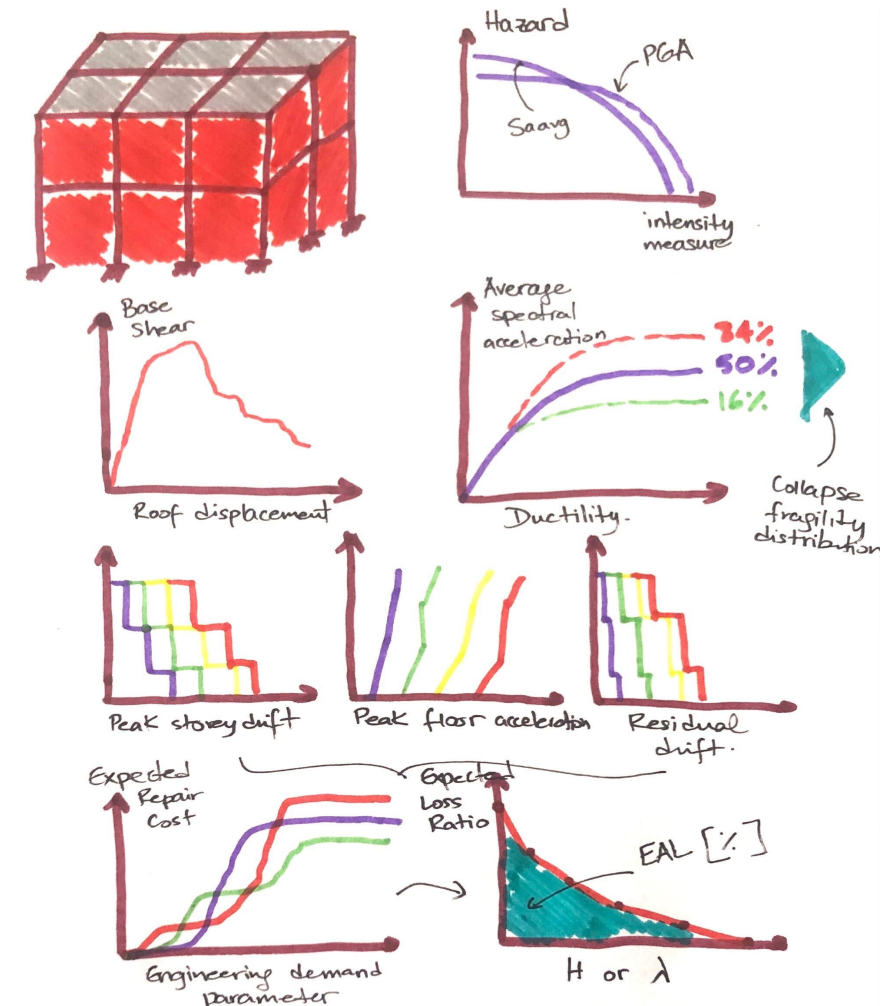
Experimental Setup

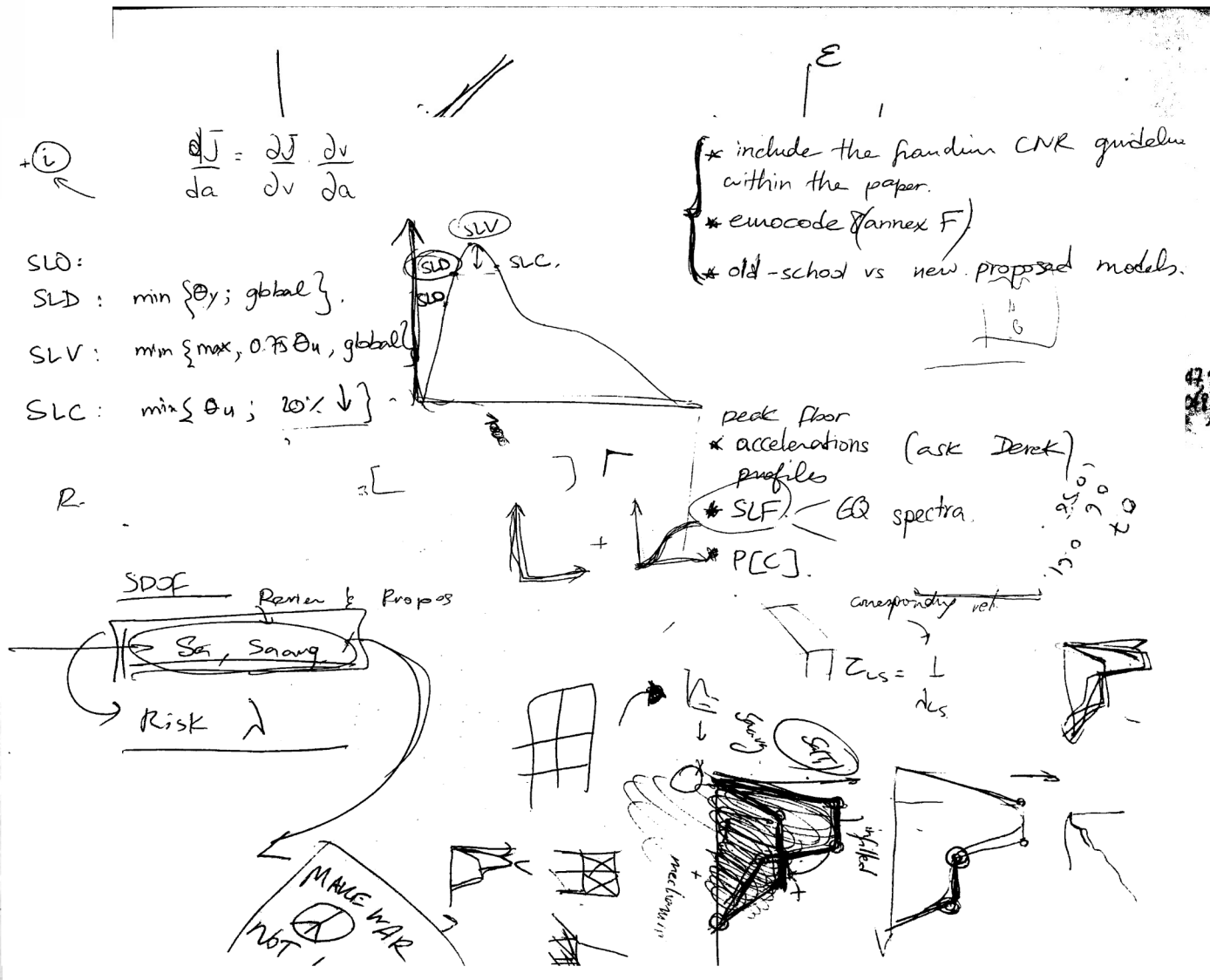
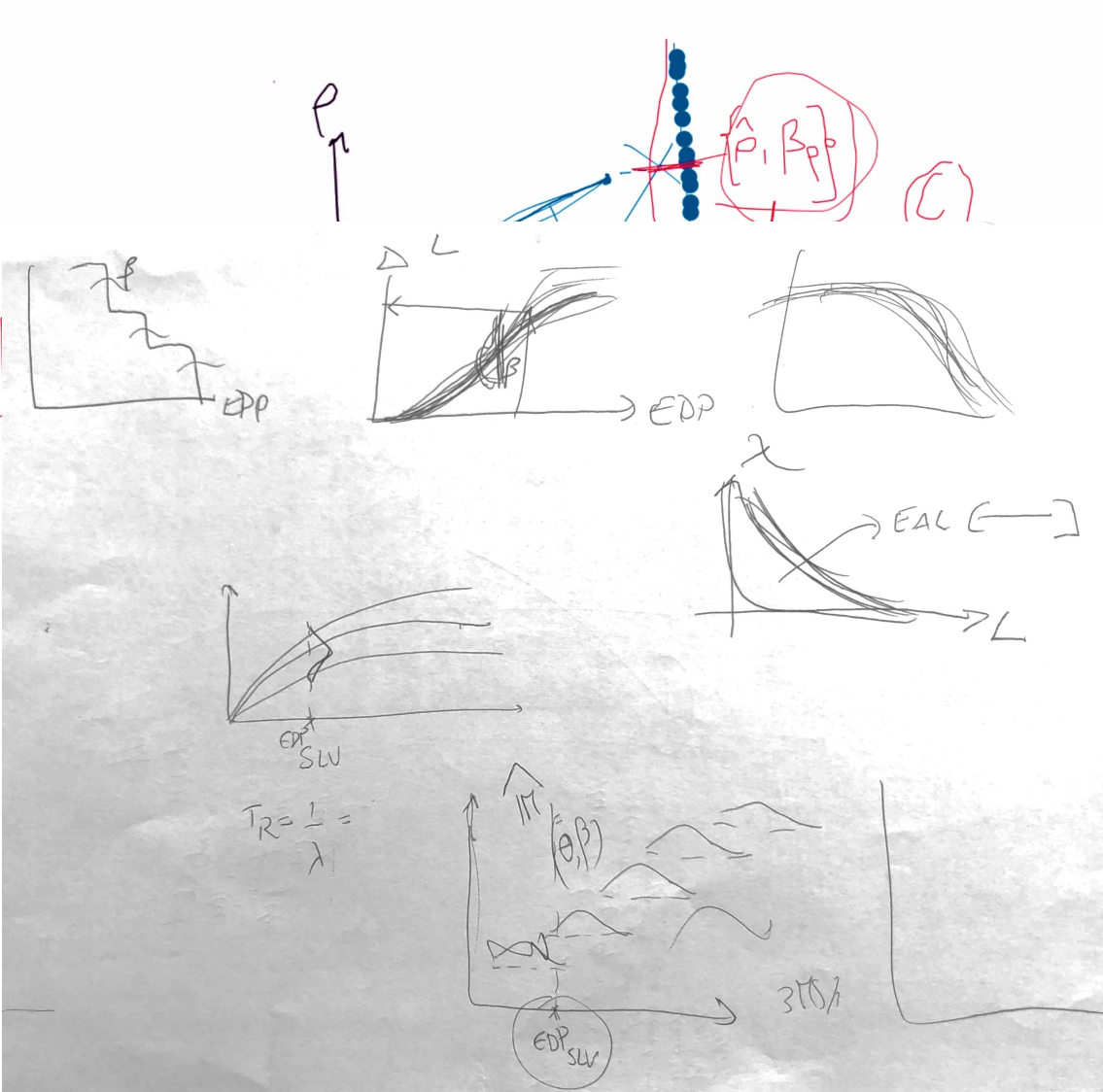
OpenSees Model

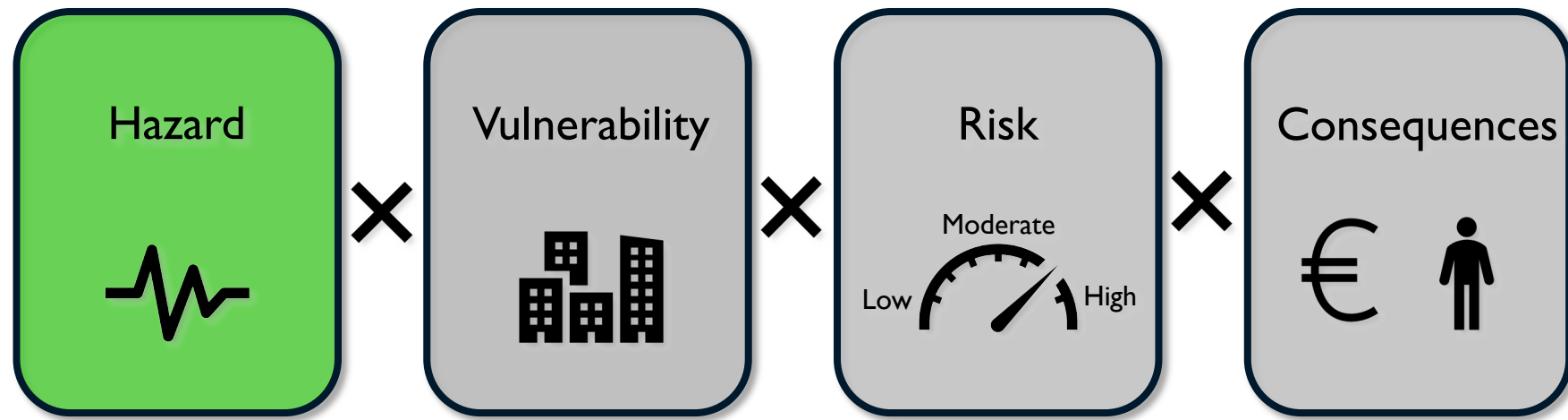
Simplified Loss-Based Approach for the Seismic Risk Classification of Existing Infilled RC Buildings (PB-Loss)

Nafeh, A.M.B. & O'Reilly, G.J.,

- Unbiased simplified seismic fragility estimation of non-ductile infilled RC structures, *Soil Dynamics and Earthquake Engineering*, Volume 157, 2022, 107253, ISSN 0267-7261, <https://doi.org/10.1016/j.soildyn.2022.107253>.
- Simplified pushover-based seismic loss assessment for existing infilled frame structures. *Bull Earthquake Eng* 22, 951–995 (2024). <https://doi.org/10.1007/s10518-023-01792-x>
- Simplified pushover-based seismic risk assessment methodology for existing infilled frame structures. *Bull Earthquake Eng* 21, 2337–2368 (2023). <https://doi.org/10.1007/s10518-022-01600-y>







Fundamental components of performance-based earthquake assessment

Hazard

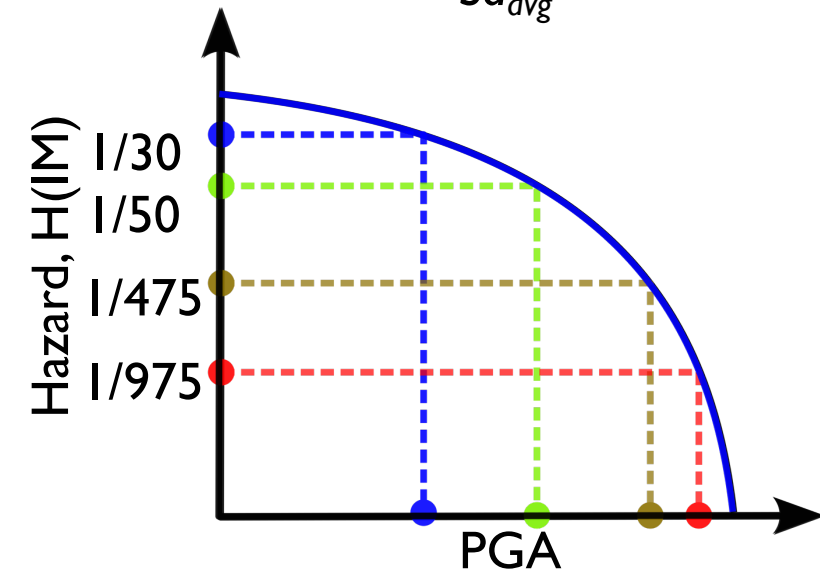
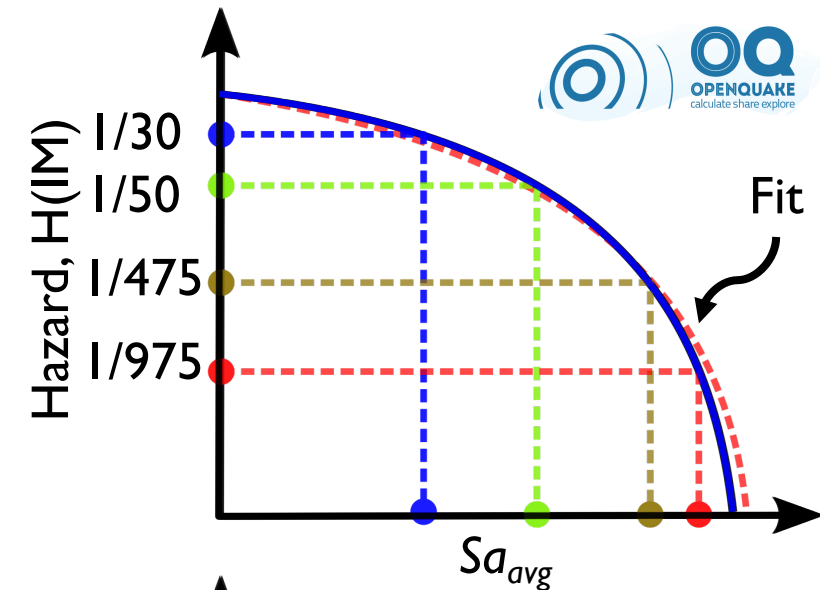


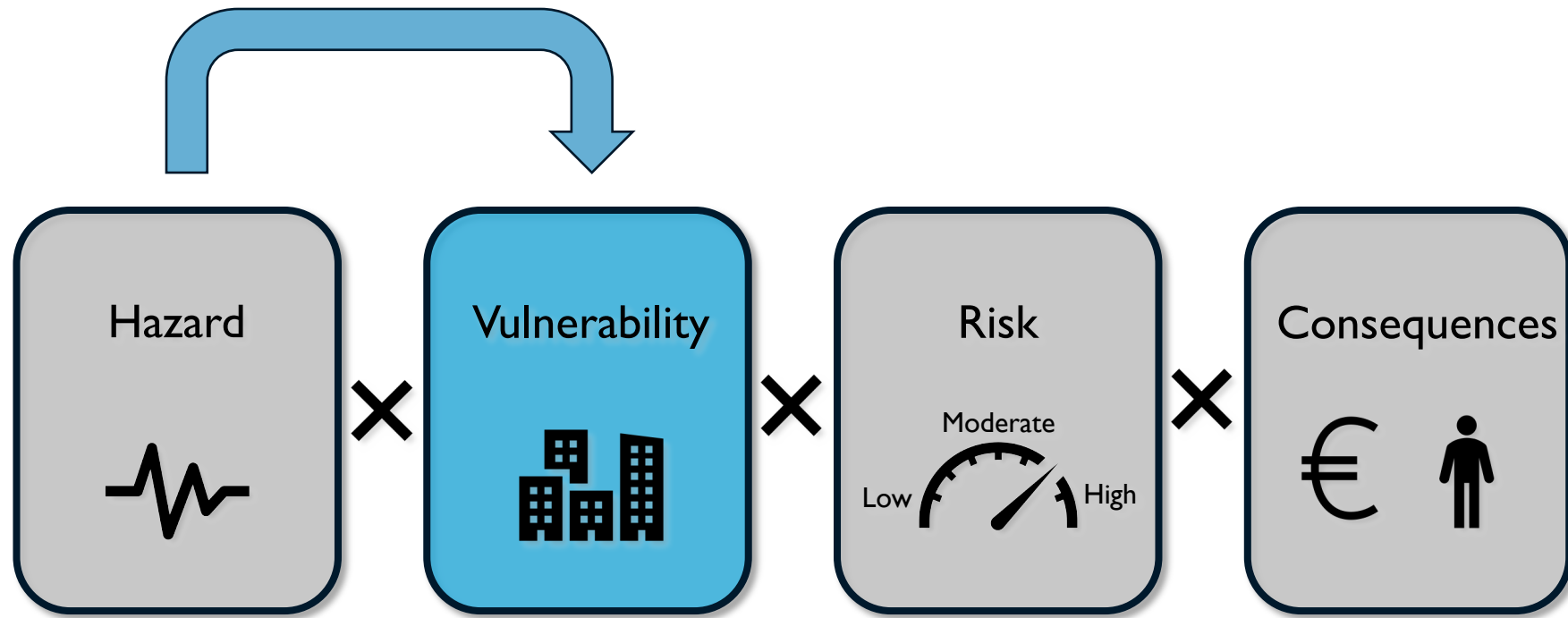
1. Mean average spectral acceleration (Sa_{avg}) hazard curve
2. Mean peak ground acceleration (PGA) hazard curve
3. Identify the intensity levels (im) corresponding to the code-based return periods, T_R

$$H(IM = im) = 1/T_R$$

4. Second-order fitting to the Sa_{avg} hazard curve:

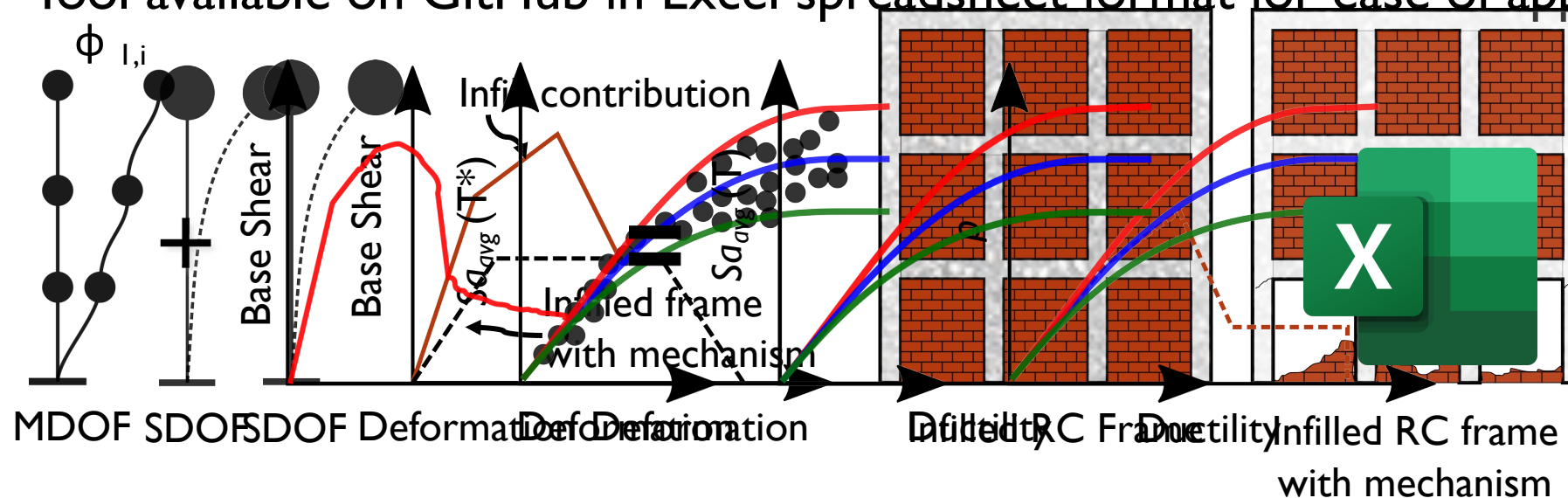
$$H(IM) = k_0 \exp \left[-k_2 \ln^2(IM) - k_1 \ln(IM) \right]$$





Fundamental components of performance-based earthquake assessment

- Pushover-based tool for the direct estimation of the seismic demand and capacity of infilled RC structures with multi-linear response using Sa_{avg} as IM
- Integrates ρ - μ -T relationships calibrated on a series of cloud analysis on a large dataset of sampled equivalent SDOF oscillators
- Requires low-level input (modal analysis and SPO results) to estimate probabilistically the dynamic capacity of an MDOF system
- Tool available on GitHub in Excel spreadsheet format for ease of application



Vulnerability

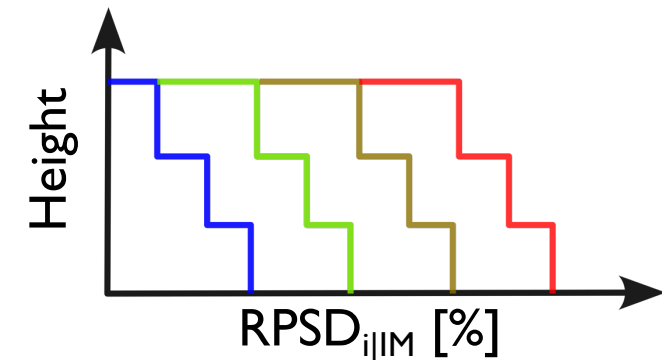
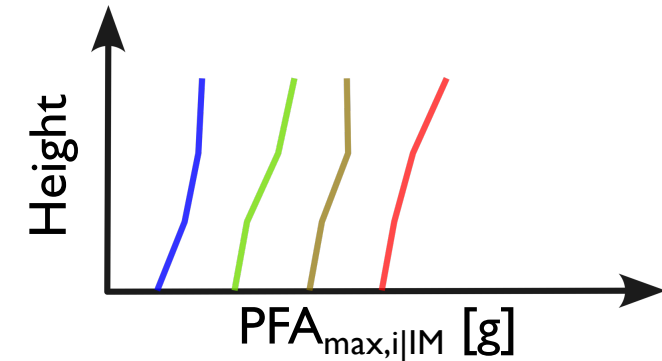
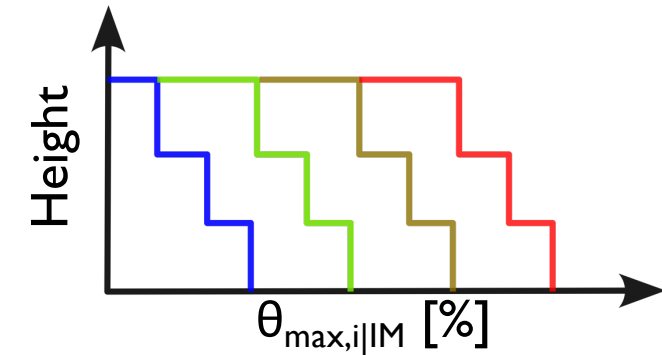


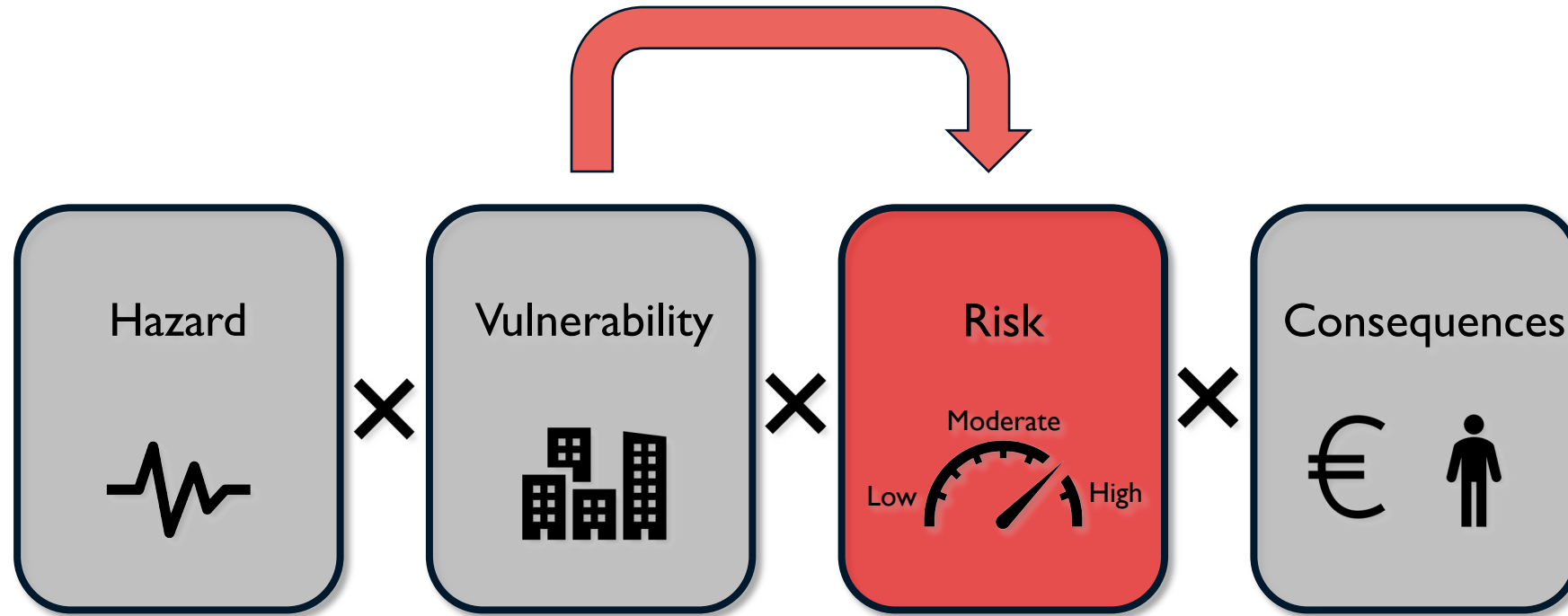
-
- The figure is a graph with the y-axis labeled $Sa_{avg}(T^*)/Sa_y^*$ and the x-axis labeled Ductility. The graph shows several curves representing different stages of structural behavior: Elastic, Yielding, Capping, Softening, Residual, and Plateau. A central diagram of a 'Roof Slab Infilled RC Frame' is overlaid on the graph, with components labeled SLO, SLD, SLV, and SLC. The ductility levels 0.16, 0.50, and 0.84 are marked on the curves. The graph also includes a label $\phi_{l,i} = 1.0$ and a label $\phi_{l,i}$ near the Residual stage.

Vulnerability



6. Peak storey drifts ($\theta_{\max,i||IM}$) using first-mode approximation
7. Peak floor accelerations ($PFA_{\max,i||im}$) using deformation-dependent empirical functions (Muho *et al.* 2021)
8. Residual peak storey drifts ($RPSD_{i||IM}$) using FEMA P-58 approximation method





Fundamental components of performance-based earthquake assessment

- IM-based SAC/FEMA approach (Vamvatsikos 2013) for the direct estimation of collapse risk or λ_C

$$\underbrace{\lambda_C}_{\text{MAFC}} = \underbrace{\sqrt{p}k_0^{1-p}}_{\text{Annual Rate of Exceedance}} \underbrace{\left[H(\widehat{S}a_{avg,c}) \right]^p}_{\text{Median Collapse Intensity}} \underbrace{\exp \left[\frac{1}{2}pk_1^2\beta_C^2 \right]}_{\text{Dispersion}}$$

$$p = \frac{1}{1 + 2k_2 \underbrace{\beta_C^2}_{\text{Dispersion}}}$$

- Vamvatsikos, D. (2013), Derivation of new SAC/FEMA performance evaluation solutions with second-order hazard approximation. *Earthquake Engng Struct. Dyn.*, 42: 1171-1188. <https://doi.org/10.1002/eqe.2265>

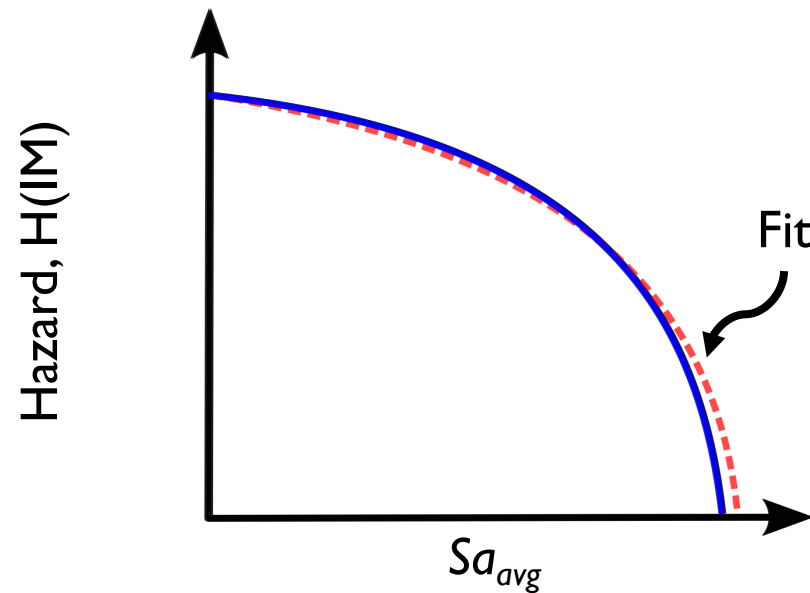
Seismic Risk

Moderate



- Second-order approximation was fitted to the Sa_{avg} hazard curve and k_0 , k_1 and k_2 are the fitting coefficients

$$H(IM) = k_0 \exp \left[-k_2 \ln^2(IM) - k_1 \ln(IM) \right]$$

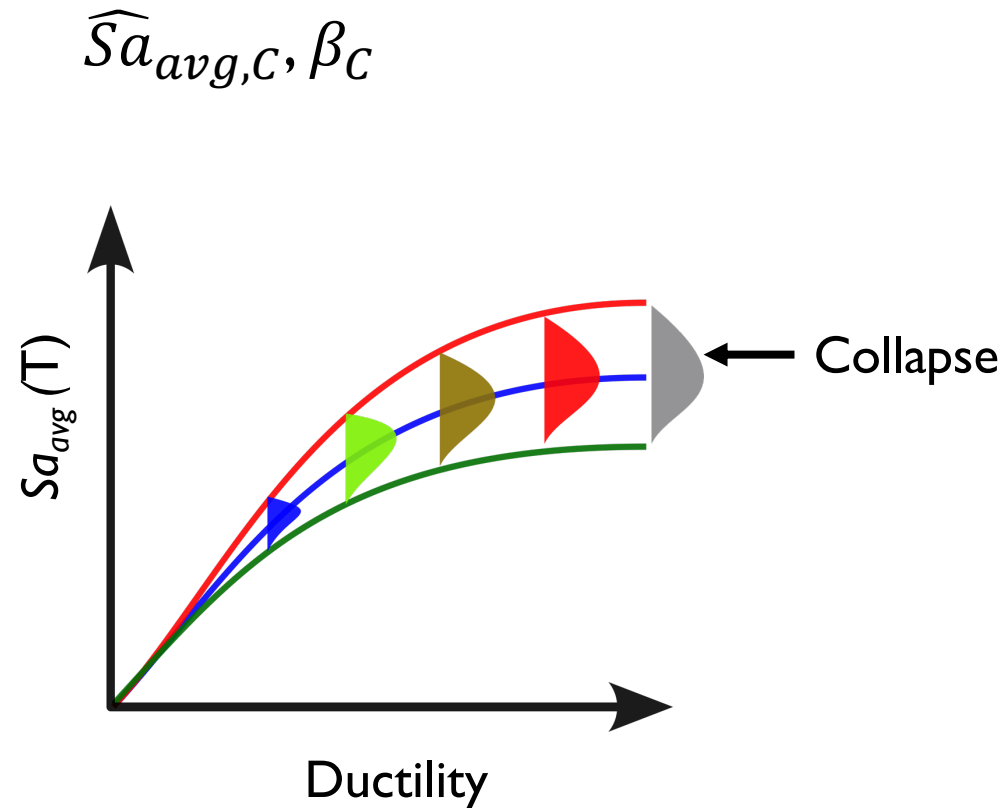


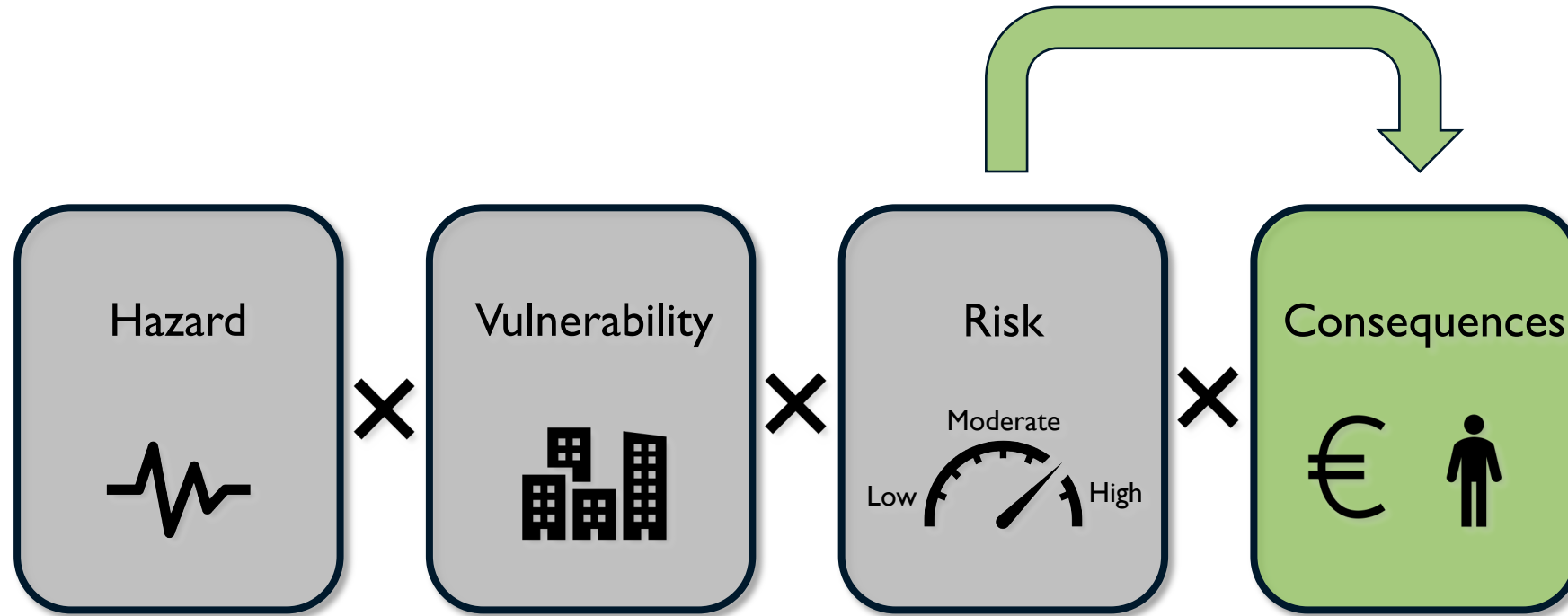
Recall



- Recall: the median collapse intensity and associated dispersion are directly estimated via the response estimation tool

Recall





Fundamental components of performance-based earthquake assessment

- Building-specific direct economic losses are typically expressed in terms of the expected annual loss (EAL)
- The EAL is evaluated by integrating the vulnerability curves with the site hazard

$$EAL = \int \underbrace{E[L_T | IM = im]}_{\substack{\text{Expected loss} \\ \text{@ limit state IM}}} \left| \frac{dH(IM > im)}{dim} \right| dim$$

Economic
Losses



Economic
Losses



$$E[L_T|IM] =$$

Non-collapse requiring repair

$$E[L_T|NC \cap R, IM](1 - P[D|NC, IM])(1 - P[C|IM])$$

+

Non-collapse requiring demolition

$$E[L_T|NC \cap D]P[D|NC, IM](1 - P[C|IM])$$

+

Total replacement due to collapse

$$E[L_T|C]P[C|IM]$$

- Non-collapse requiring repair

$$E[L_T|NC \cap R, IM](1 - P[D|NC, IM])(1 - P[C|IM])$$

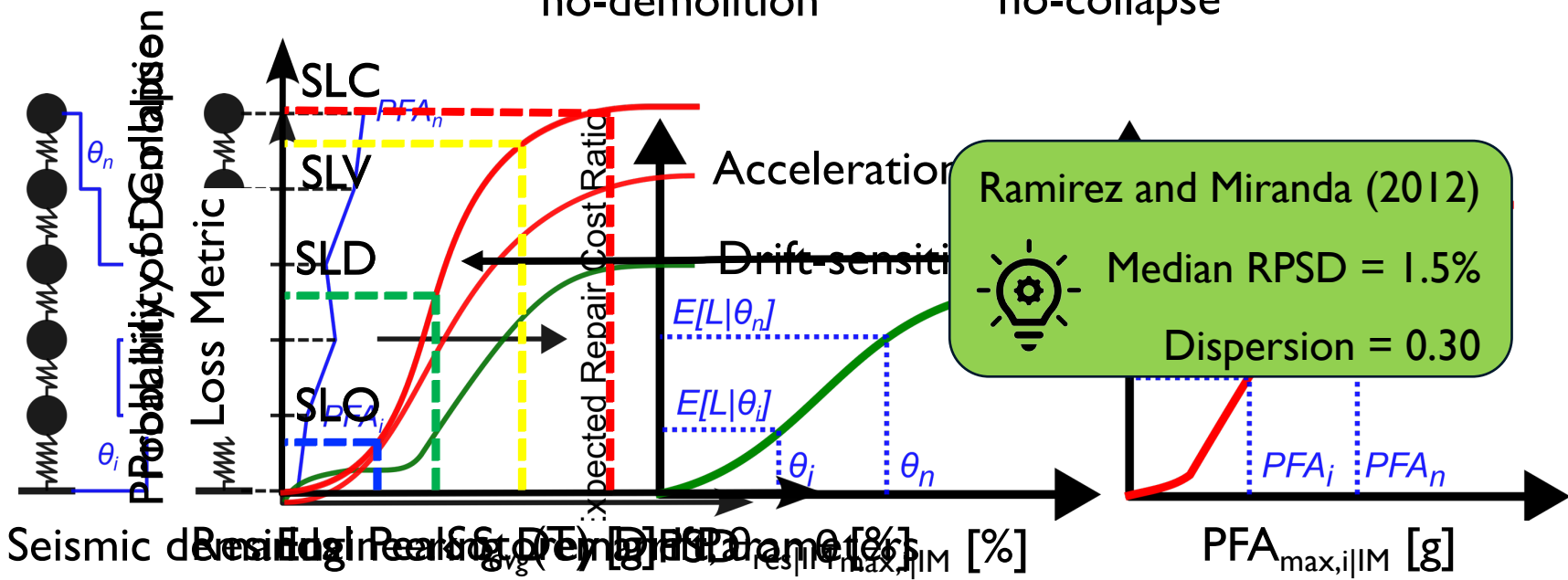
Repair Costs

Probability of no-demolition

Probability of no-collapse

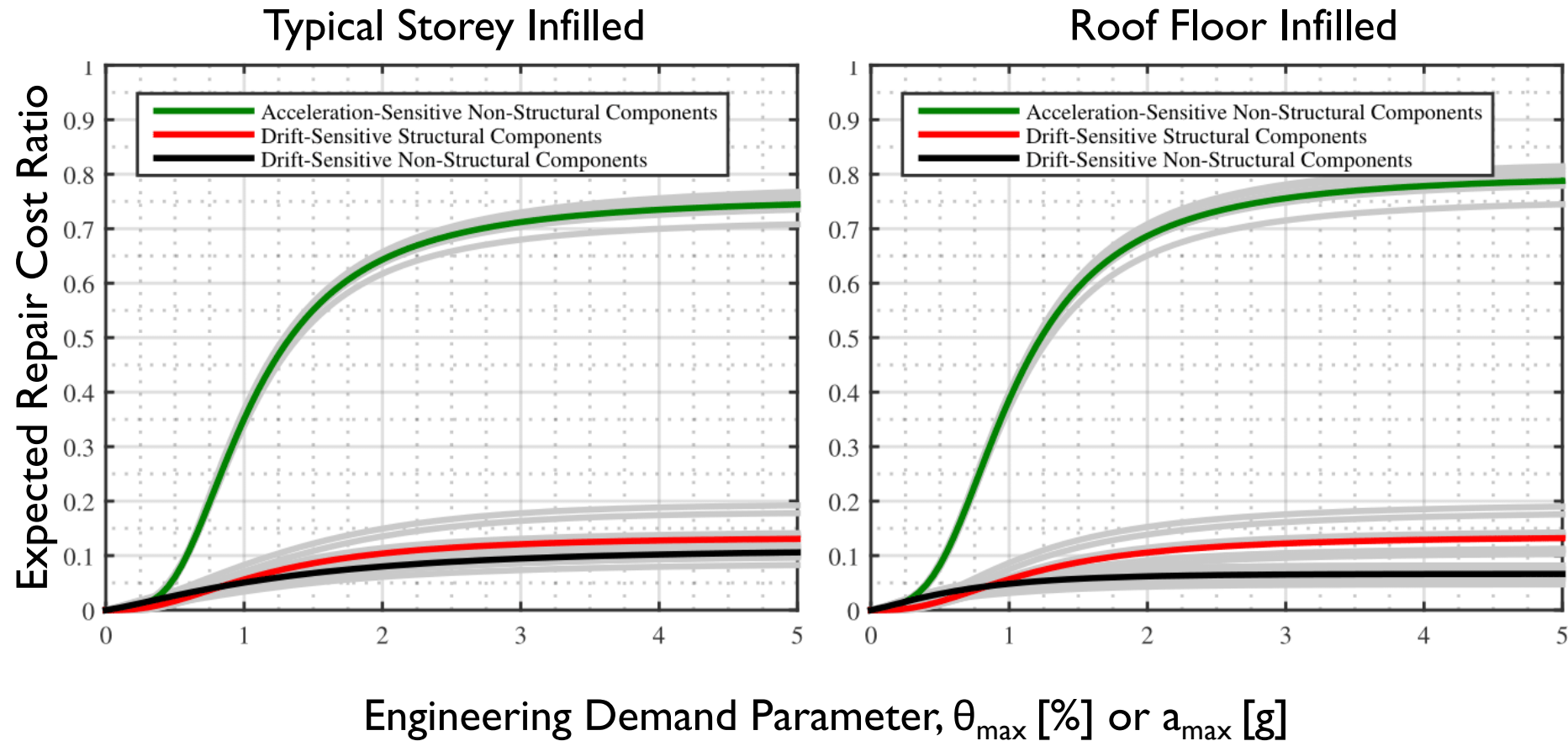
Economic Losses

€



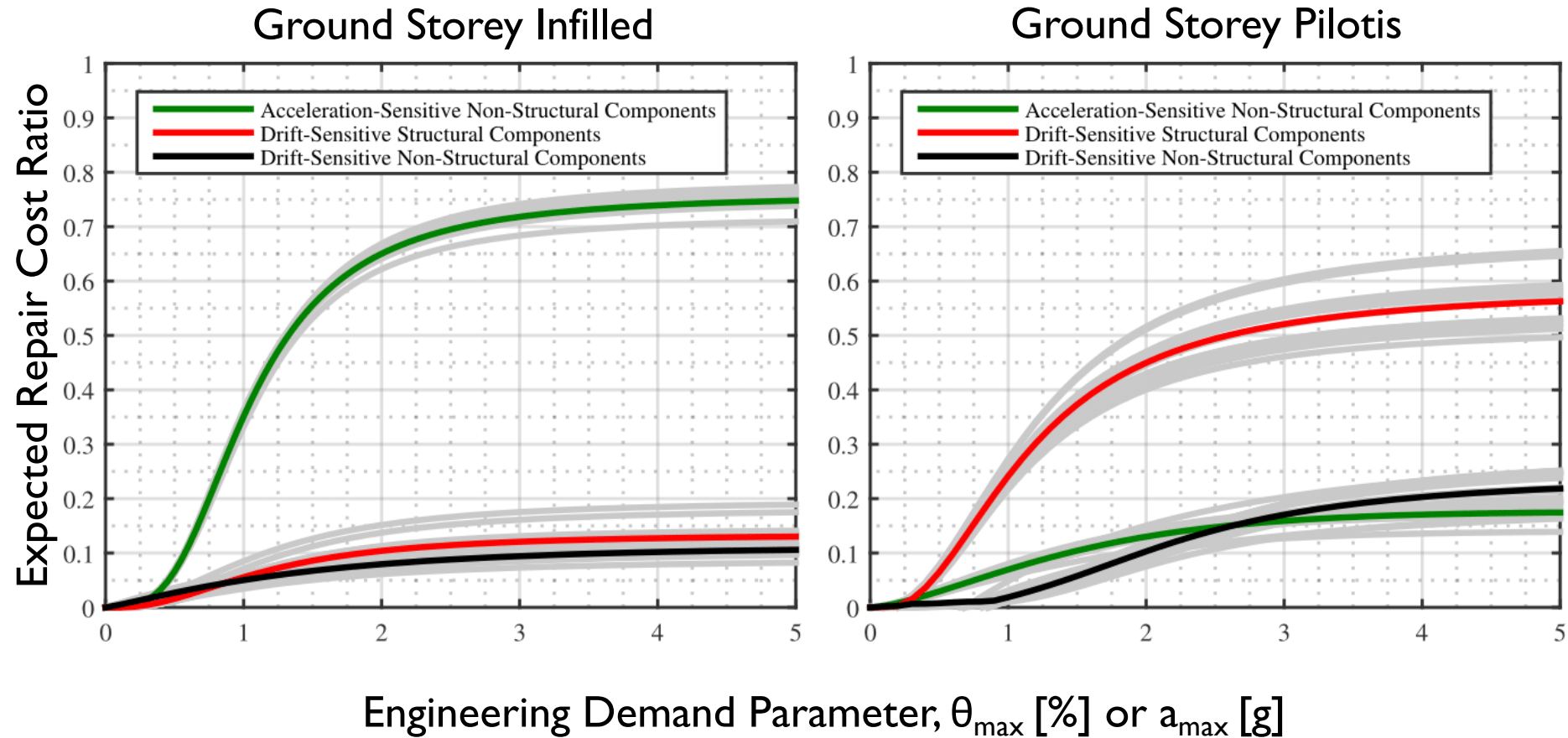
- Non-collapse requiring repair

Economic
Losses



- Non-collapse requiring repair

Economic
Losses



- Non-collapse requiring demolition

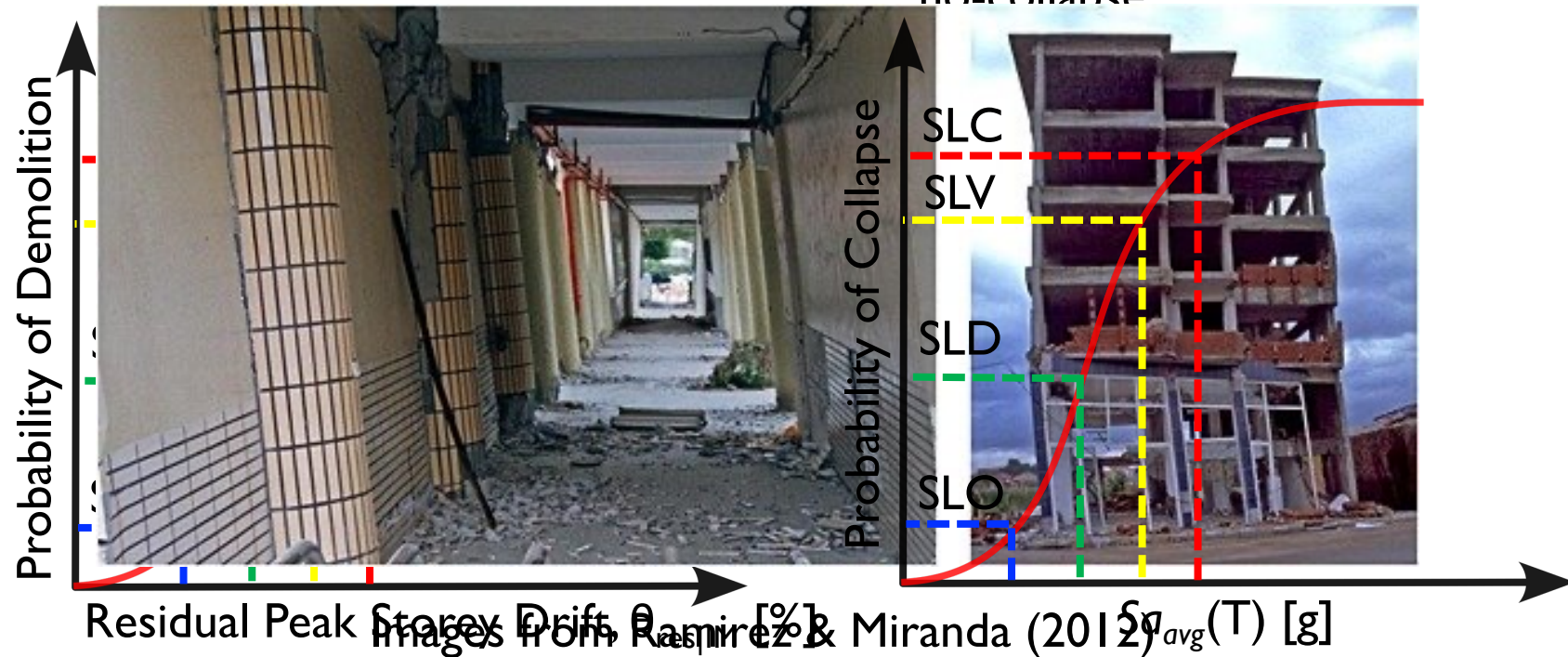
$$E[L_T | NC \cap D] P[D | NC, IM] (1 - P[C | IM])$$

Demolition
costs

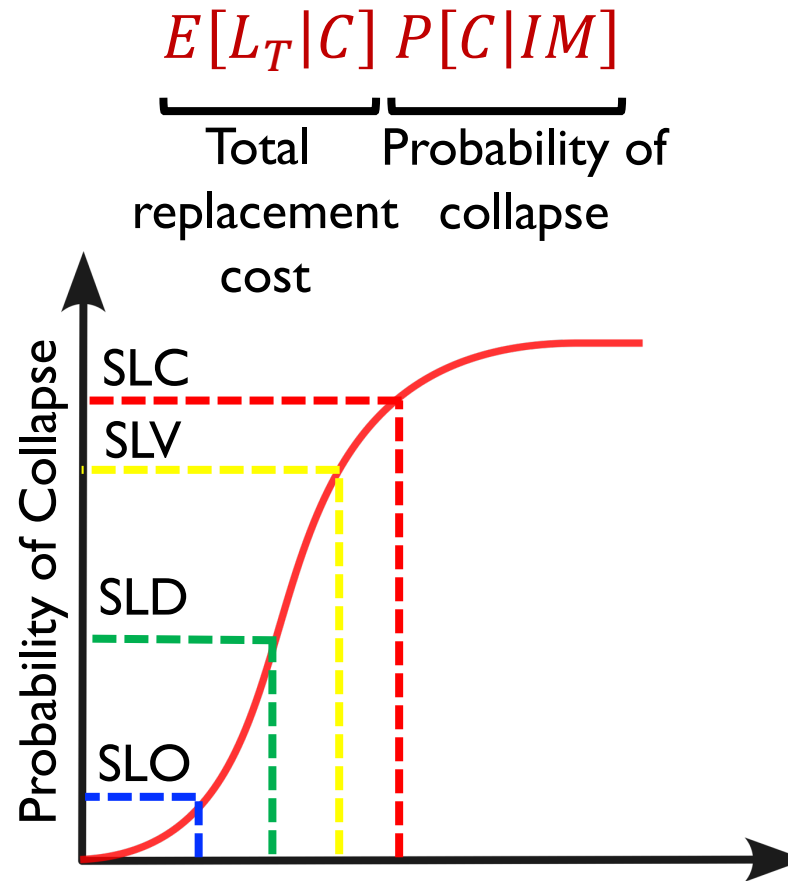
Probability of
demolition

Probability of
no-collapse

Economic
Losses



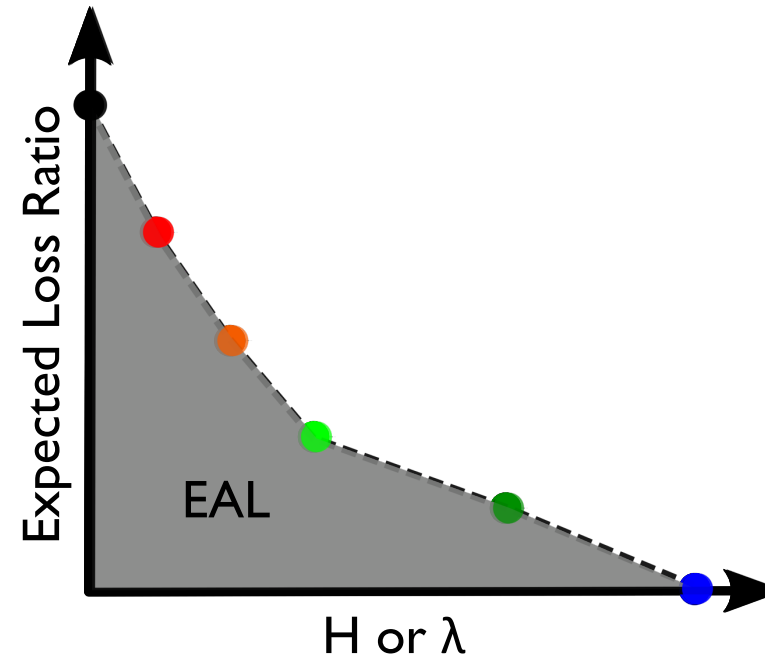
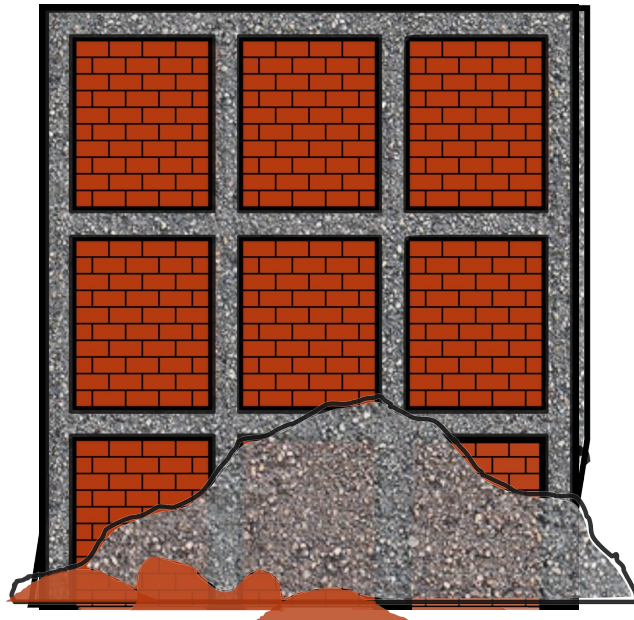
- Collapse requiring total replacement



Economic
Losses



- Building the Loss Curve and EAL



Zero-Loss (Undamaged)

- $H = 0.01$
- $E[L_T|ZL] = 0.0$

SLO: Operational

- $H = 0.033$
- $E[L_T|SLO]$

SLD: Damage Limitation

- $H = 0.020$
- $E[L_T|SLD]$

SLV: Life-Safety

- $H = 0.0021$
- $E[L_T|SLV]$

SLC: Collapse Prevention

- $H=0.0010$
- $E[L_T|SLC]$

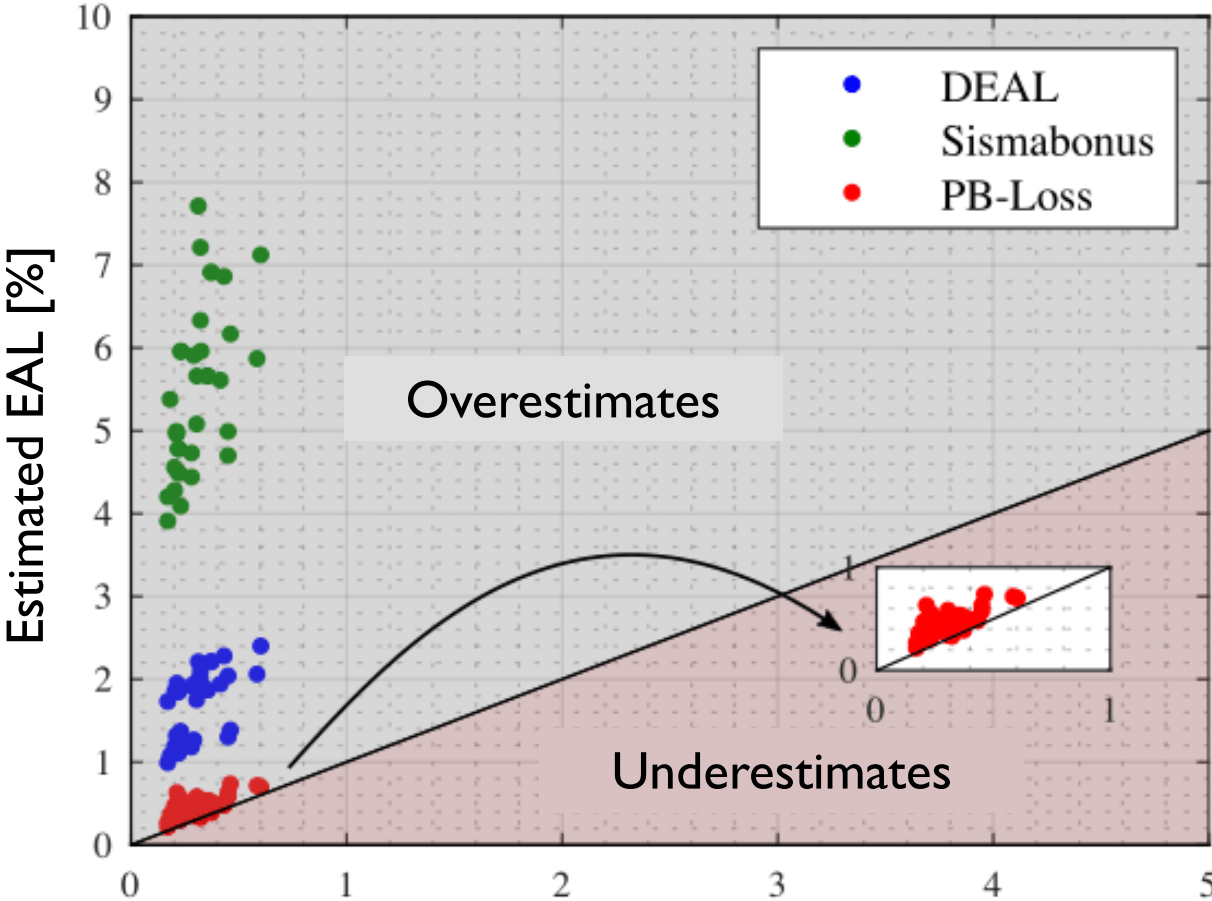
Collapse

- λ_C
- $E[L_T|C] = 1.0$

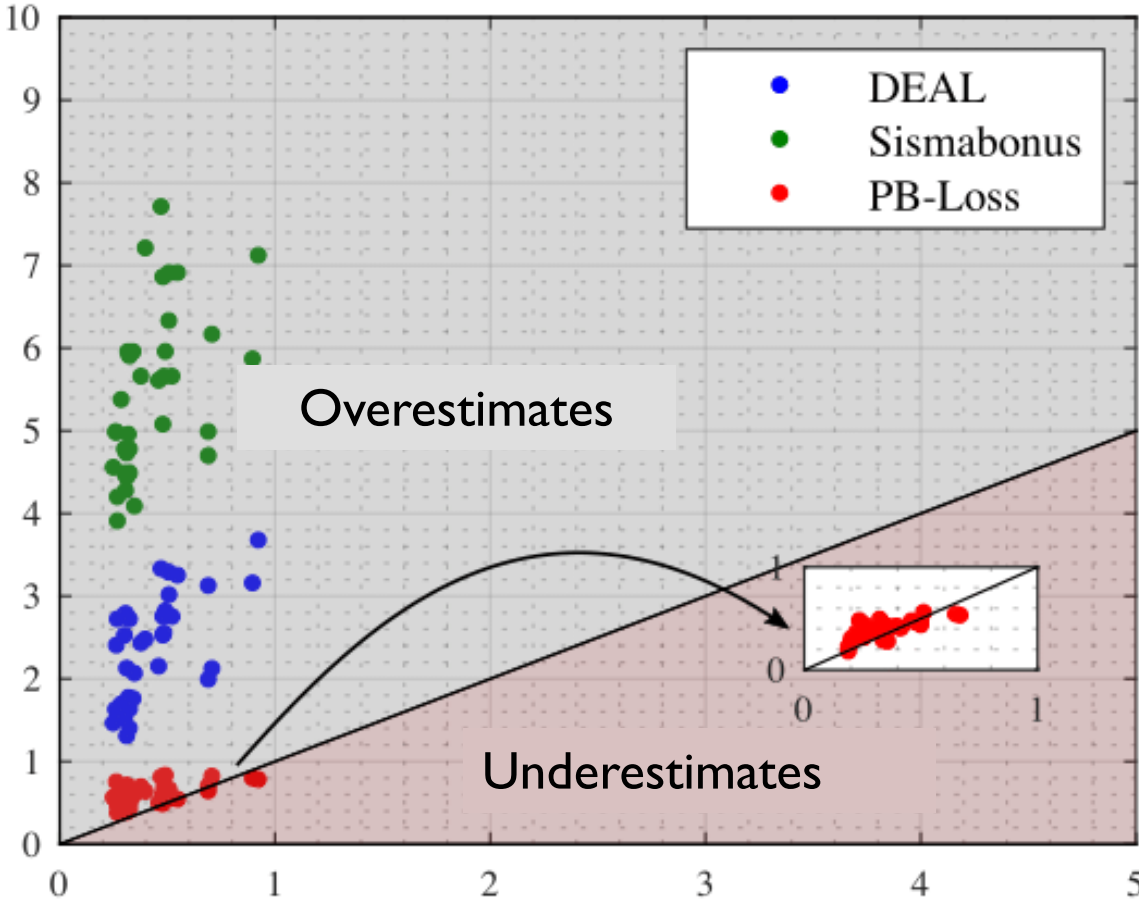
Economic
Losses



GLD Archetypes



SSD Archetypes

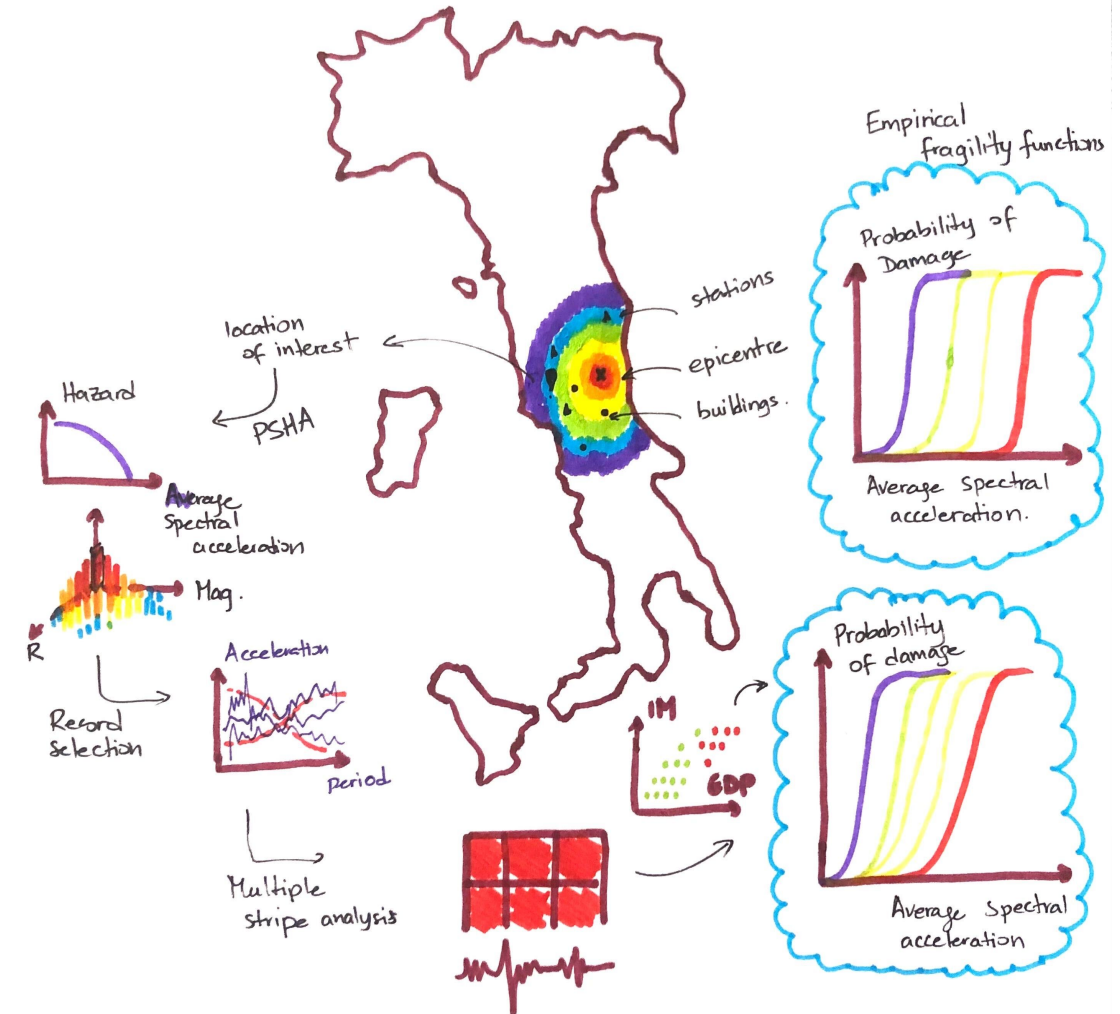


EAL [%] (FEMA P-58 Component-Based Approach)

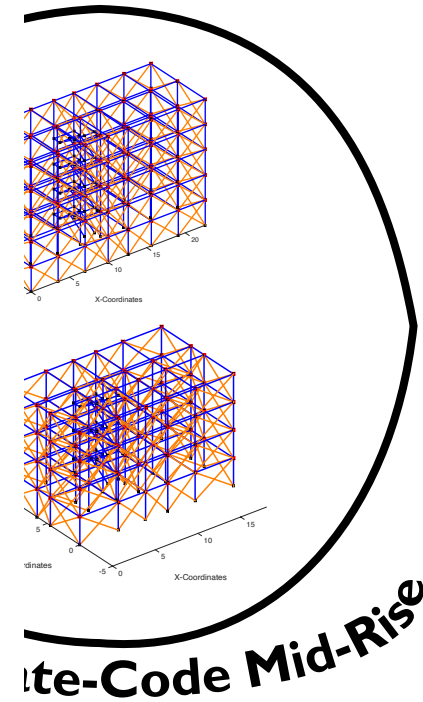
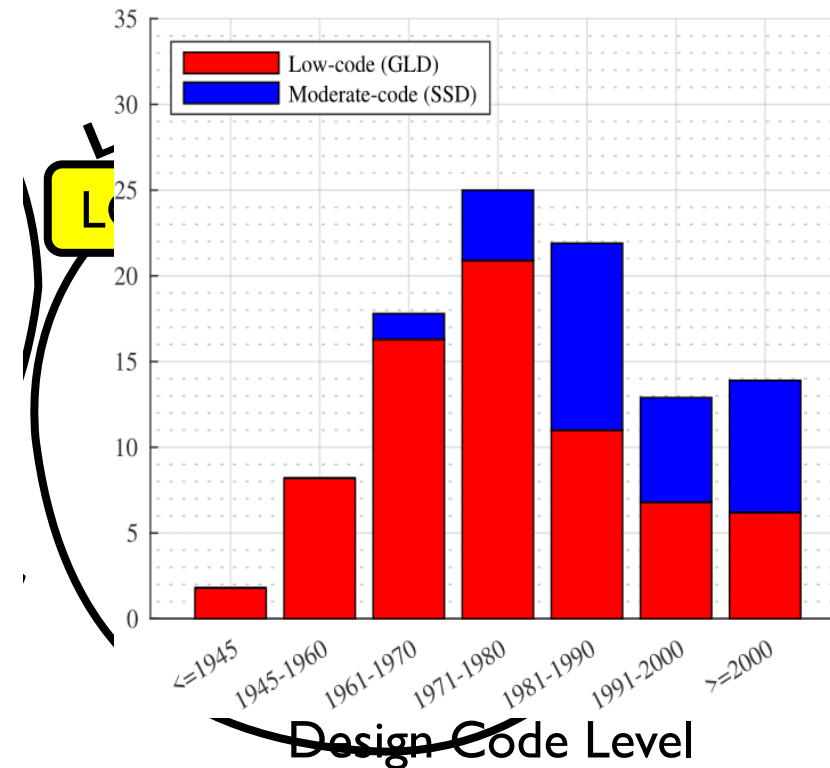
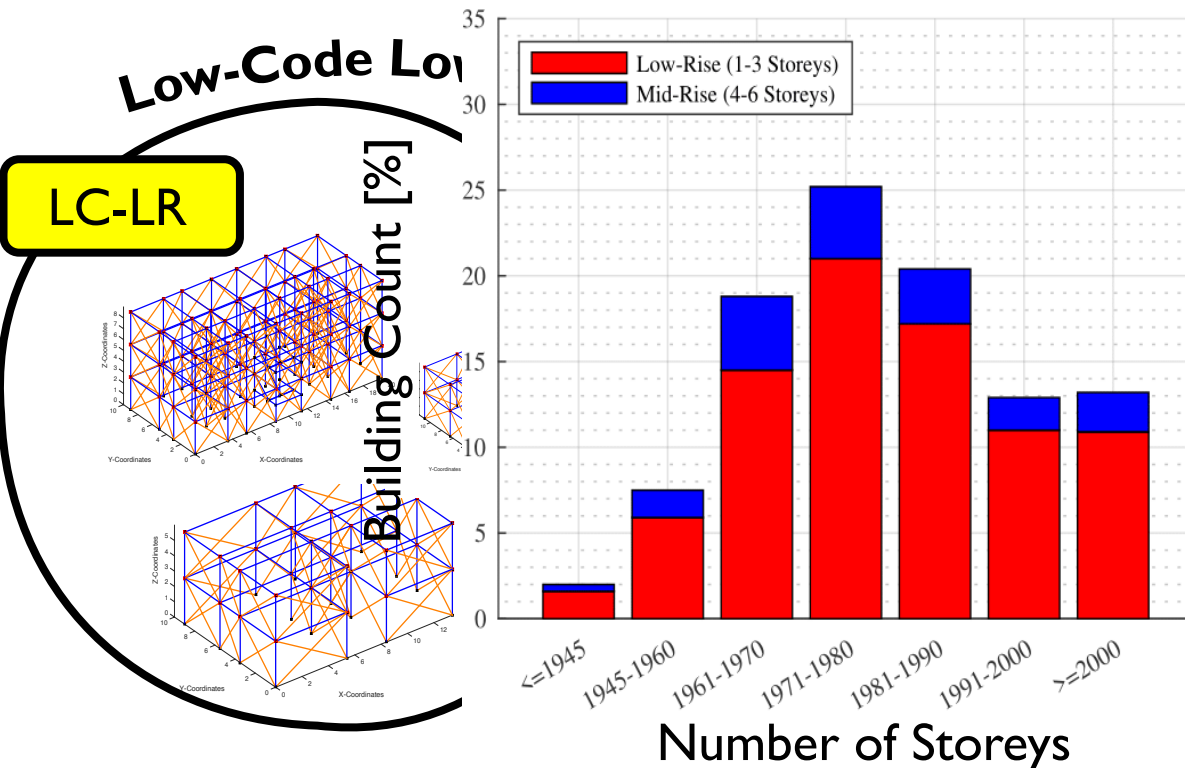
Next-Generation-IM-based Fragility Functions for the Regional Assessment of Existing Infilled RC Buildings

Nafeh, A.M.B. & O'Reilly, G.J.,

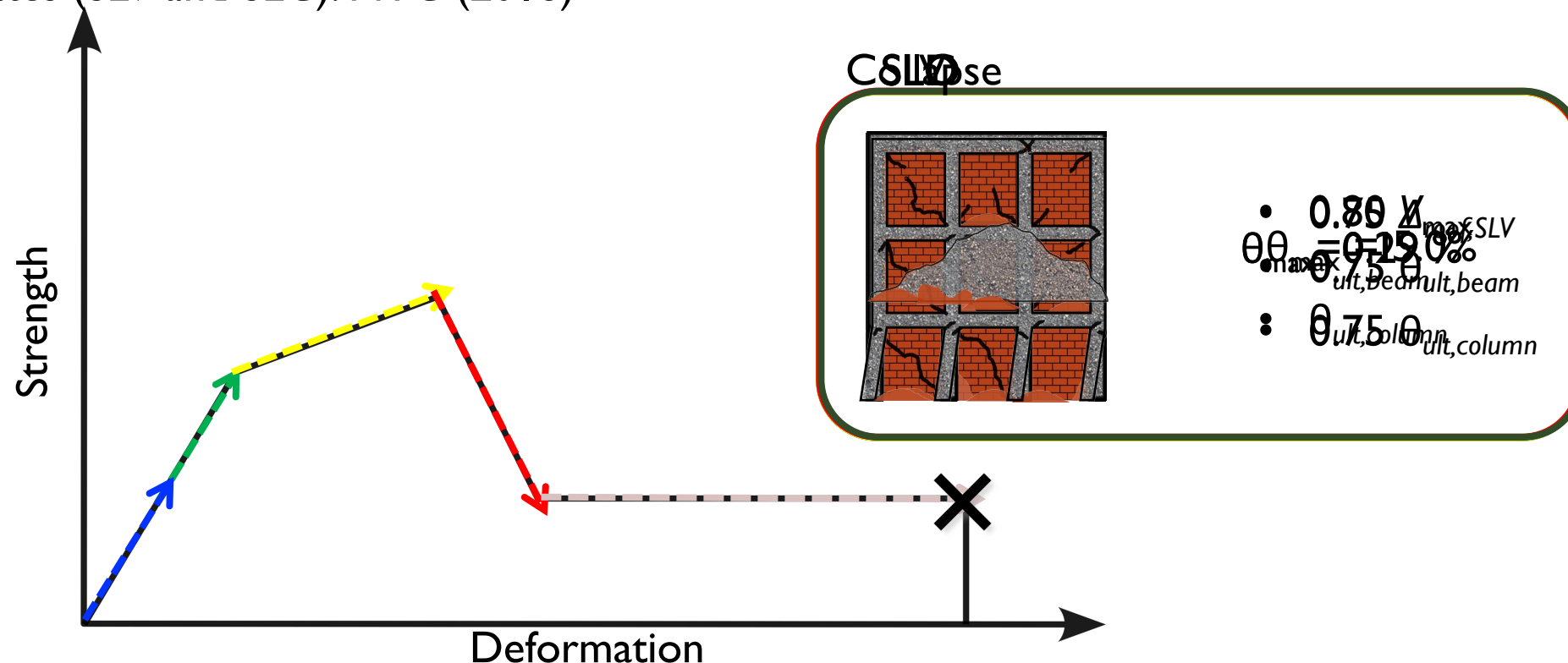
- Fragility functions for non-ductile infilled reinforced concrete buildings using next-generation intensity measures based on analytical models and empirical data from past earthquakes (Under Review)



- The definition of a building class is a key step towards assessing seismic risk.
- Building classes must be defined using building attributes relevant to seismic vulnerability



- A hybrid definition of the damage state thresholds was considered
 - Serviceability Limit States (SLO and SLD): Kurukulasuriya *et al.* (2022)
 - Ultimate Limit States (SLV and SLC): NTC (2018)



- Kurukulasuriya *et al.* (2022) Investigation of seismic behaviour of existing masonry infills through combined cyclic in-plane and dynamic out-of-plane tests, 9th International Conference on Computational Methods in Structural Dynamics and Earthquake Engineering Methods in Structural Dynamics and Earthquake Engineering

- An **Objective Damage State** between analytical and empirical **Damage States** to ensure a consistent verification of FFs
Norme Tecniche Per Le Costruzioni (2018)
- **Agibilità e Danno nell' Emergenza Sismica**



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Legge 27-02-2004, n. 46 - Filiale di Roma



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Livello-estensione		Danno ⁽¹⁾									
		D4 - D5 Gravissimo			D2 - D3 Medio Grave			D1 Leggero			Nullo
		> 2/3	1/3 - 2/3	< 1/3	> 2/3	1/3 - 2/3	< 1/3	> 2/3	1/3 - 2/3	< 1/3	
Componente strutturale- Danno preesistente		A	B	C	D	E	F	G	H	I	L
1	Strutture verticali	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
2	Solai	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
3	Scale	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
4	Copertura	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
5	Tamponature - Tramezzi	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
6	Danno preesistente	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐

SLV

D2-D

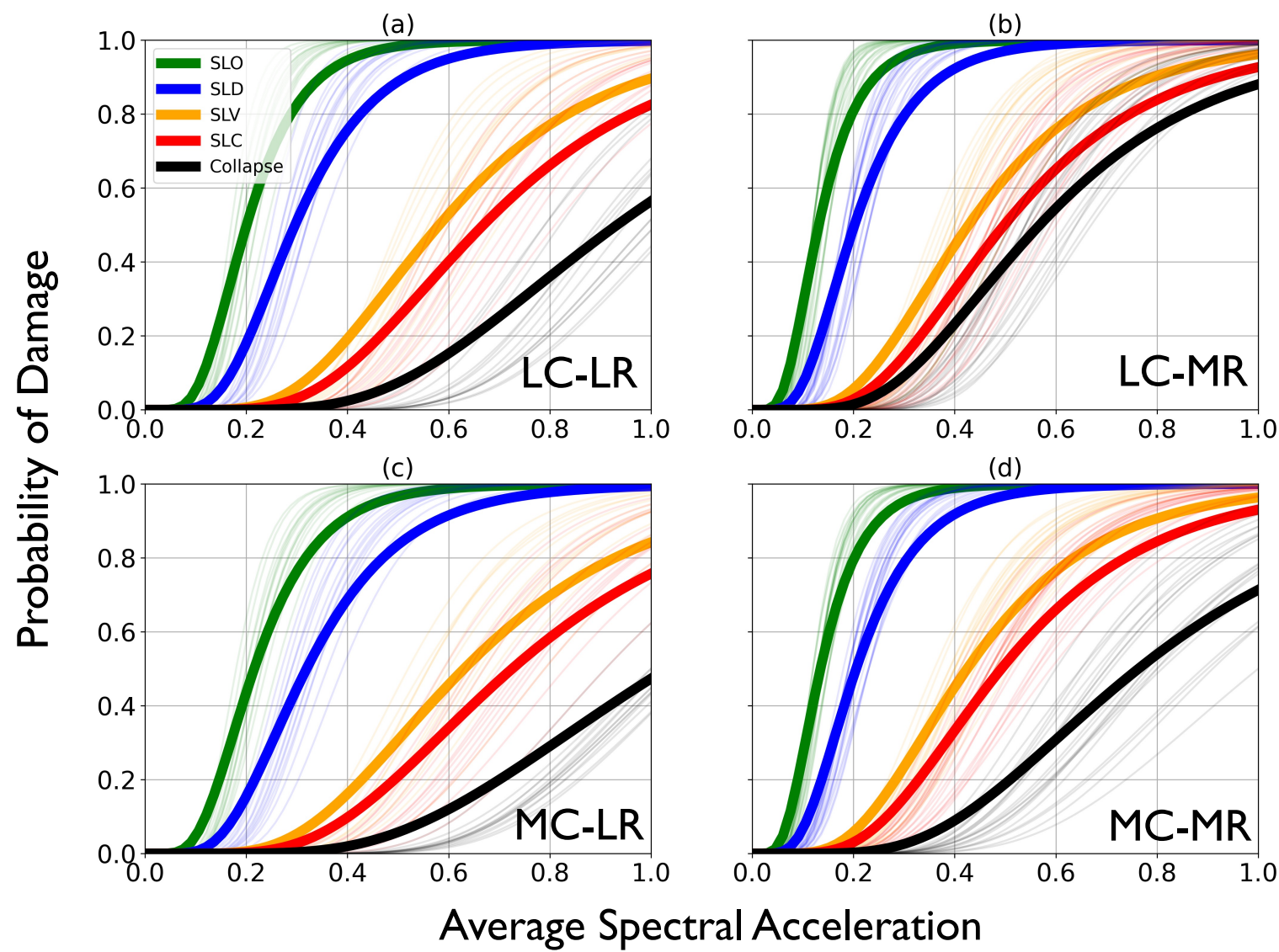
Functionality and usability of the building

Safety and immediate occupancy

Protection of occupants lives and ensurance of safe evacuation

Structural collapse prevention

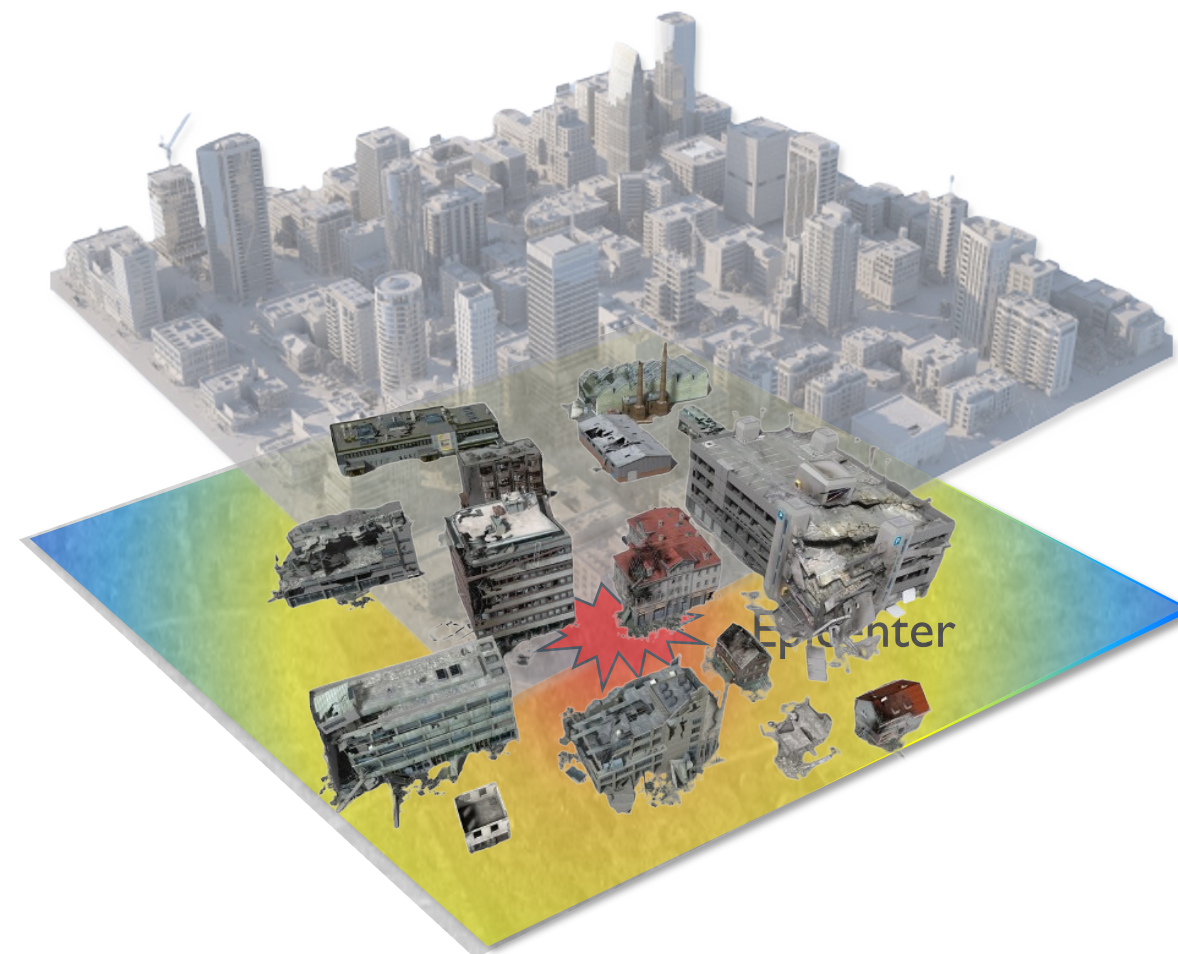
Structural collapse



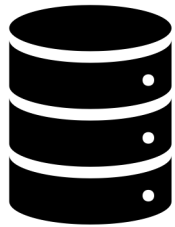
- Empirical fragility functions are the end result of convolving two layers of information in combination with robust statistical tools

➤ Observed damage to buildings

➤ Ground-motion fields (GMFs)



- DaDO: Database of Observed Damage



PROTEZIONE CIVILE
Presidenza del Consiglio dei Ministri
Dipartimento della Protezione Civile

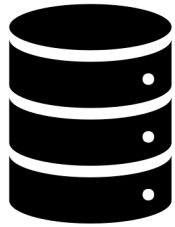


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- DaDO: Database of Observed Damage



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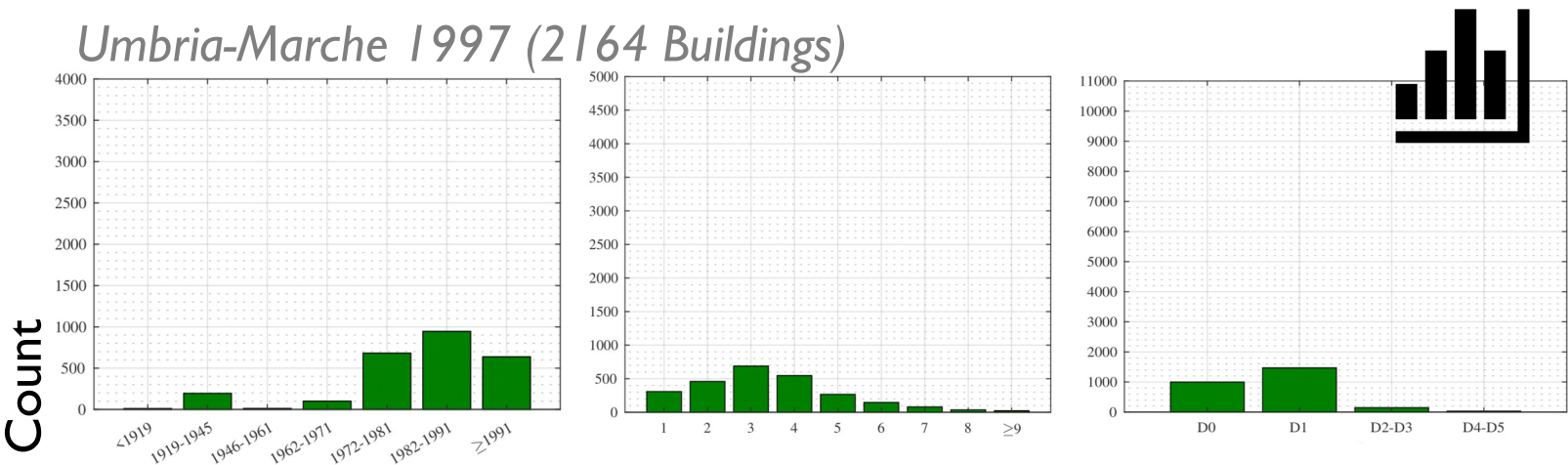
- Friuli 1976
- Irpinia 1980
- Abruzzo 1984
- Umbria-Marche 1997
- Pollino 1998
- Molise-Puglia 2002
- Emilia 2003
- L'Aquila 2009
- Emilia 2012
- Garfagnana-Lunigiana 2013
- Central Italy 2016 - 2017
- Mugello 2019



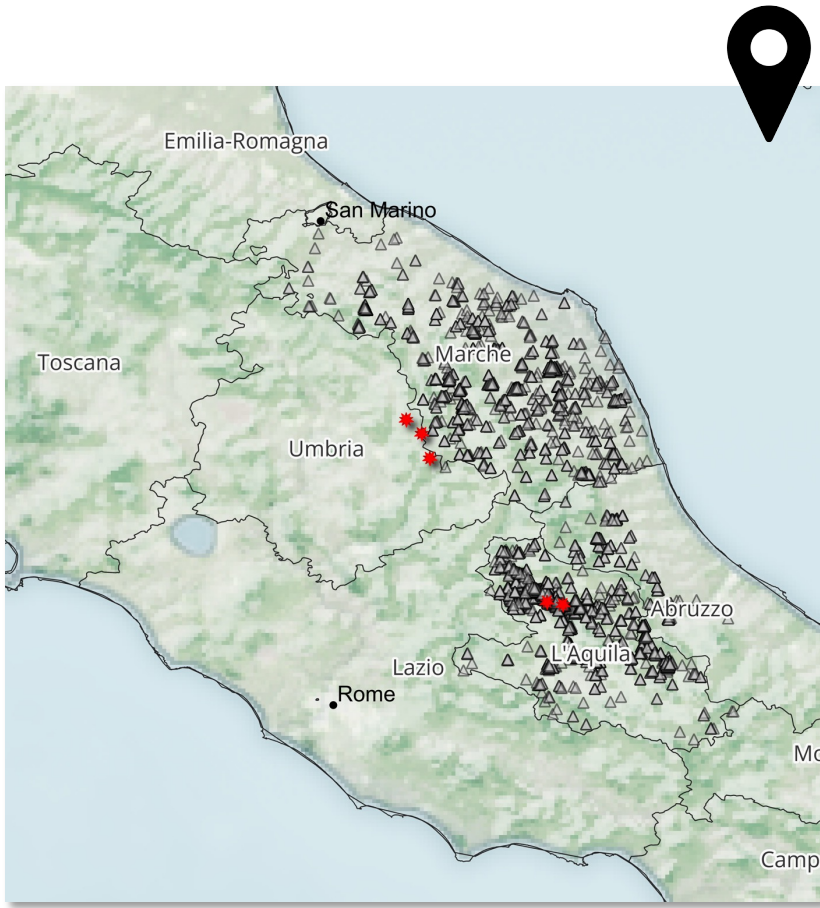
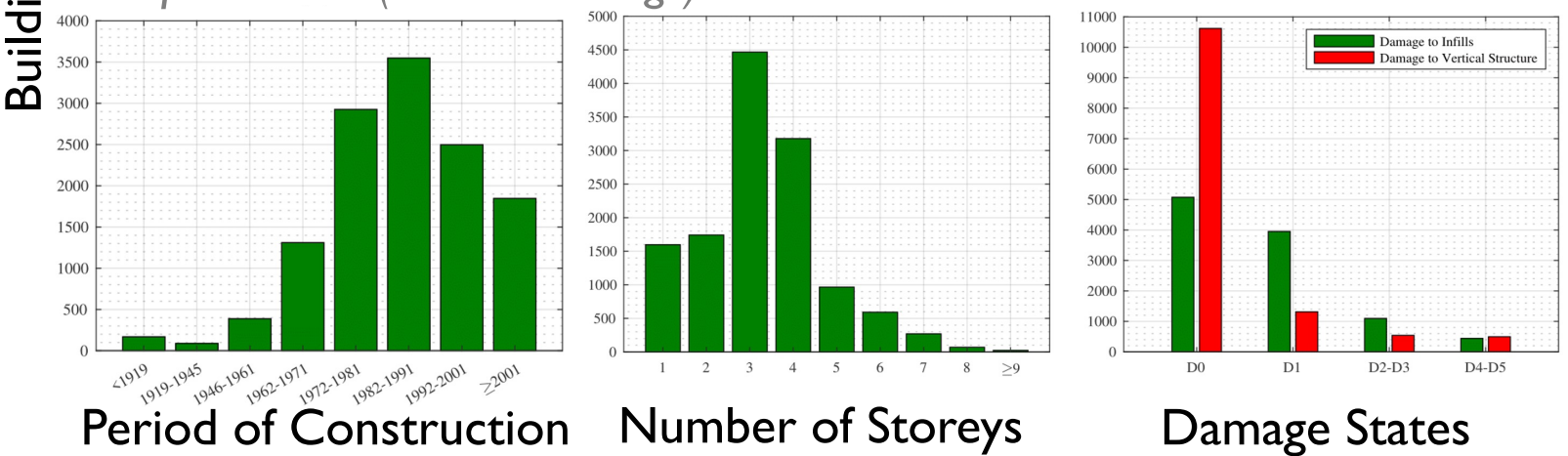
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- Building characteristics and spatial distributions (DaDO)

Umbria-Marche 1997 (2164 Buildings)



L'Aquila 2009 (8502 Buildings)



Inspected Building Locations

- Physically realistic ground-motion fields are a combination of:
 - Handling of ground-motion models (GMMs) for the estimation of spectral intensities (Bindi *et al.* 2011) and indirect approach highlighted in Kohrangi *et al.* 2018 to estimate Sa_{avg} values and the total associated uncertainty
 - Conditioning of GMMs on seismic station data (ITACA) to account for “ground-truth” in the within-event uncertainty (Engler *et al.* 2022)
 - Spatial correlation to consider the spatial dependence in the joint probability distribution function of an intensity measure given a rupture scenario
 - Cross-correlation between IMs to consistently sample ground-shaking intensities from a GMM distribution over multiple IMTs and preserving the spectral shape properties



<https://github.com/gem/oq-engine/tree/master/openquake/hazardlib/>

- Bindi, D., Nguyen, E.B., Bucci, L.W. (2011) GMMs for spectral intensities: a direct approach. *Ground Motion Intensities for Earthquake Engineering*, D. Bindi (ed). Springer, 1060–1079. doi: https://doi.org/10.1007/978-94-007-1402-3_13
- Kohrangi, M., Kotha, S.R. & Bazzurro, P. Ground-motion models for average spectral acceleration in a period range: direct and indirect methods. *Bull Earthquake Eng* 16, 45–65 (2018). <https://doi.org/10.1007/s10518-017-0216-5>

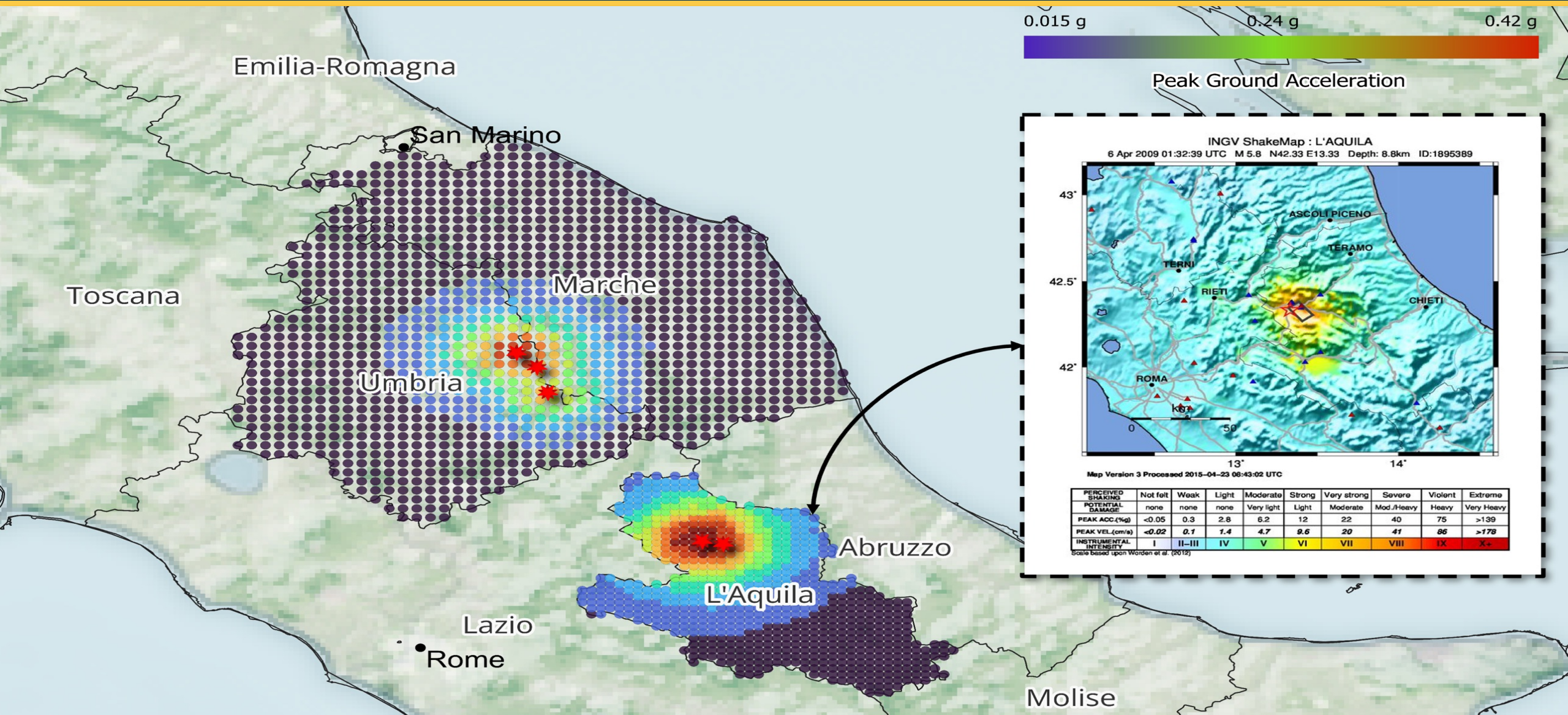
- Physically realistic ground-motion fields are a combination of:
 - Simulations via multivariate distributions
 - To simulate the intensities at each site j for a given rupture event i , the distribution of $\ln \mathbf{IM}$

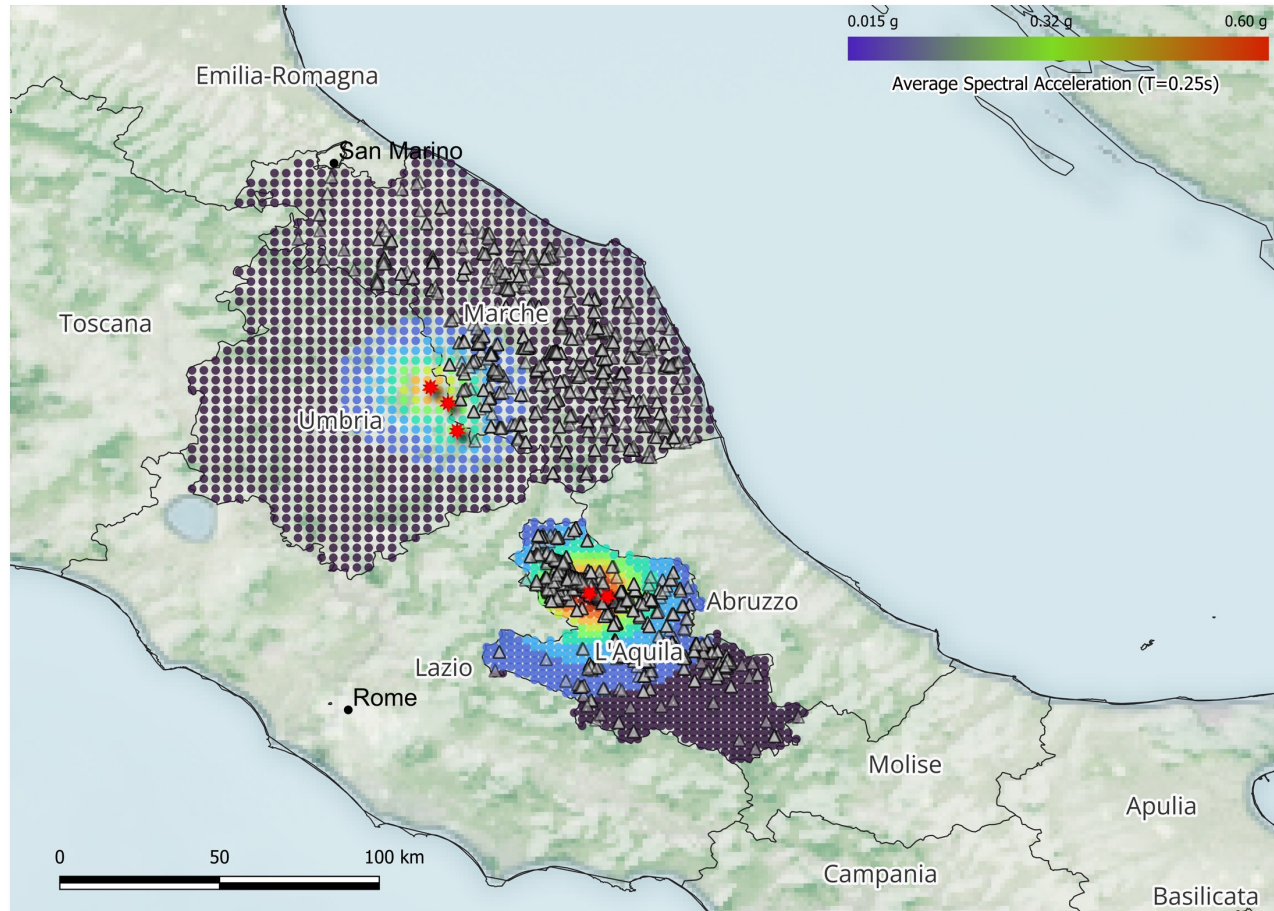
$$\ln \mathbf{IM} \sim \mathcal{N}(\mathbf{M}, \mathbf{\Sigma})$$

where $\sim \mathcal{N}(\cdot)$ denotes that $\ln \mathbf{IM}$ is multivariate normal distribution, parameterised by the mean vector $\mathbf{M}$ and covariance matrix $\mathbf{\Sigma}$ defined for n sites as follows:

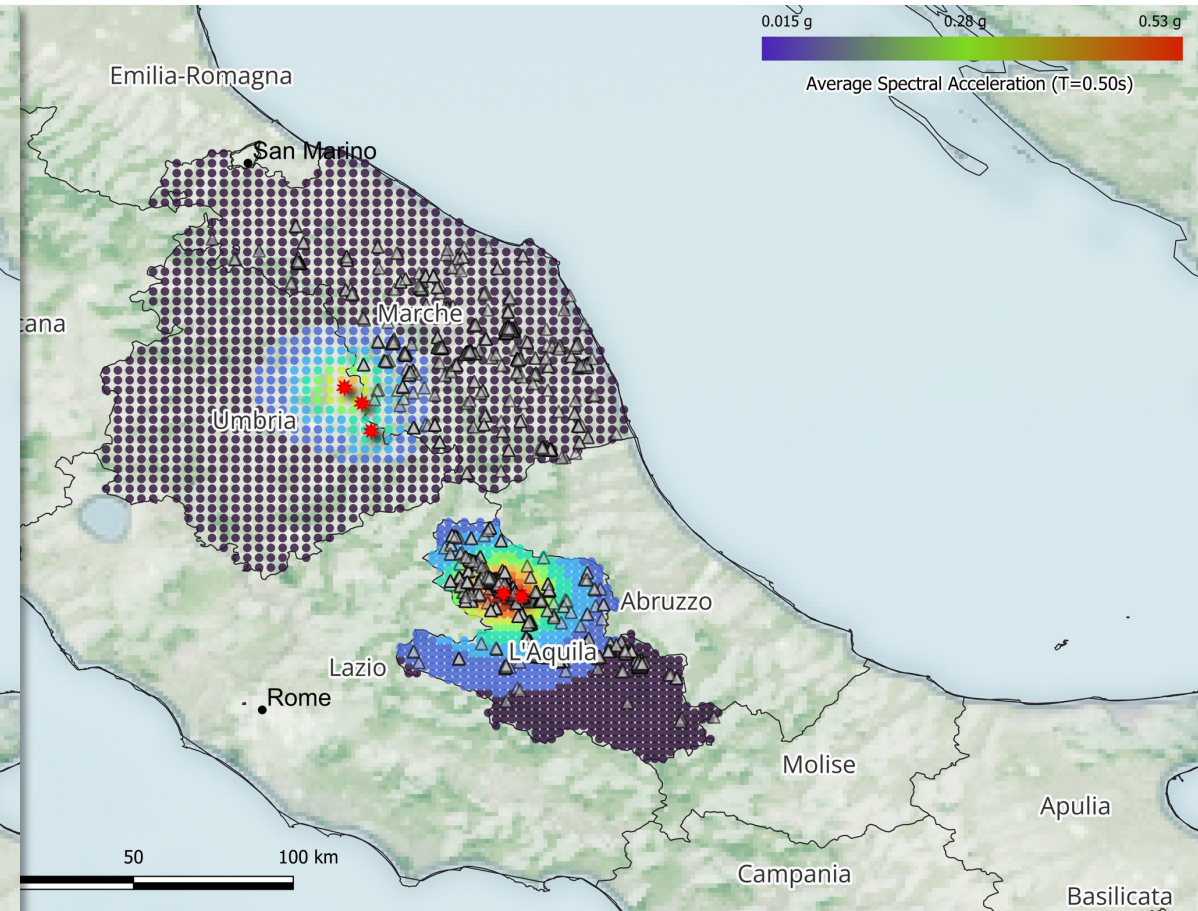
$$\mathbf{M} = \begin{bmatrix} \ln \mu_{IM}(rup_i, site_1) \\ \ln \mu_{IM}(rup_i, site_j) \\ \dots \\ \ln \mu_{IM}(rup_i, site_n) \end{bmatrix} = \begin{bmatrix} \ln \mu_{Sa_{avg}}(rup_i, site_1) \\ \ln \mu_{Sa_{avg}}(rup_i, site_j) \\ \dots \\ \ln \mu_{Sa_{avg}}(rup_i, site_n) \end{bmatrix}$$

$$\mathbf{\Sigma} = \sigma_{inter}^2 \mathbf{1} + \sigma_{intra}^2 \mathbf{R}$$





Sa_{avg} (0.25s)-based GMFs for Low-Rise Buildings



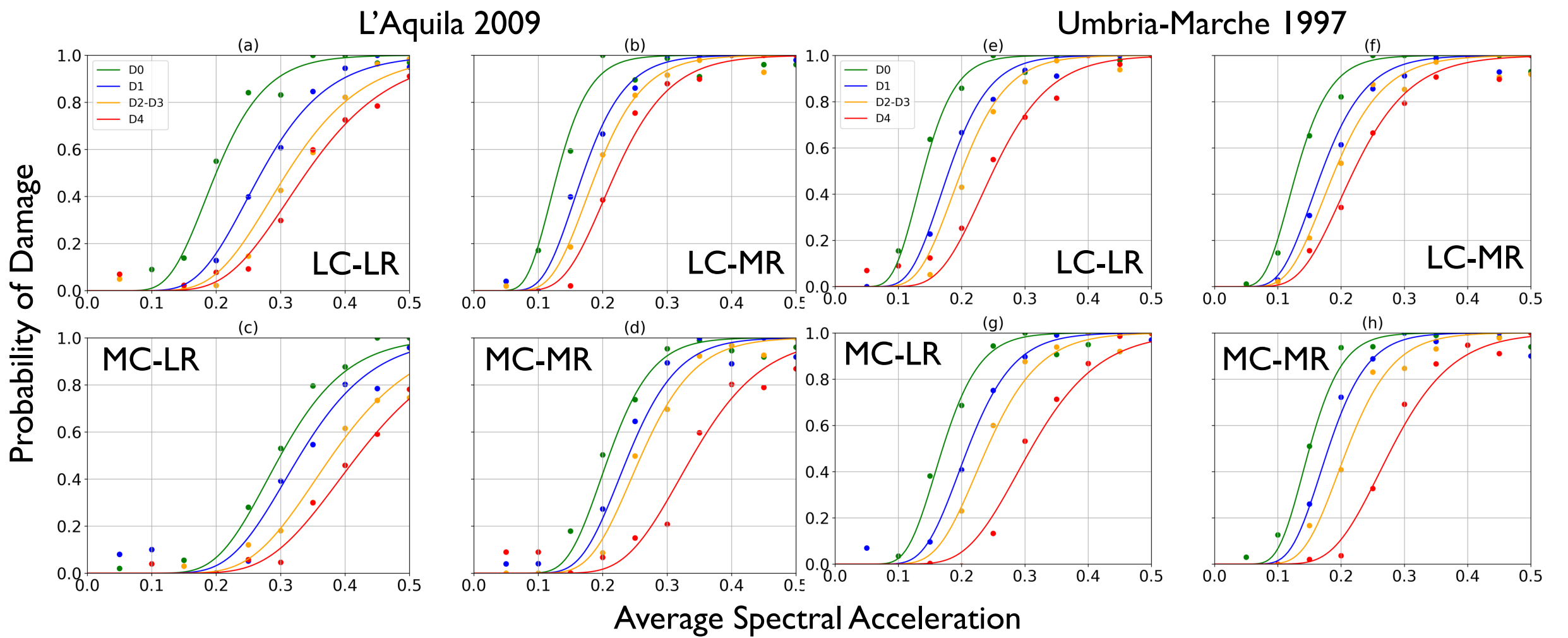
Sa_{avg} (0.50s)-based GMFs for Mid-Rise Buildings

- Bernoulli distribution is selected to characterize the random component of the statistical model (probability of exceedance)

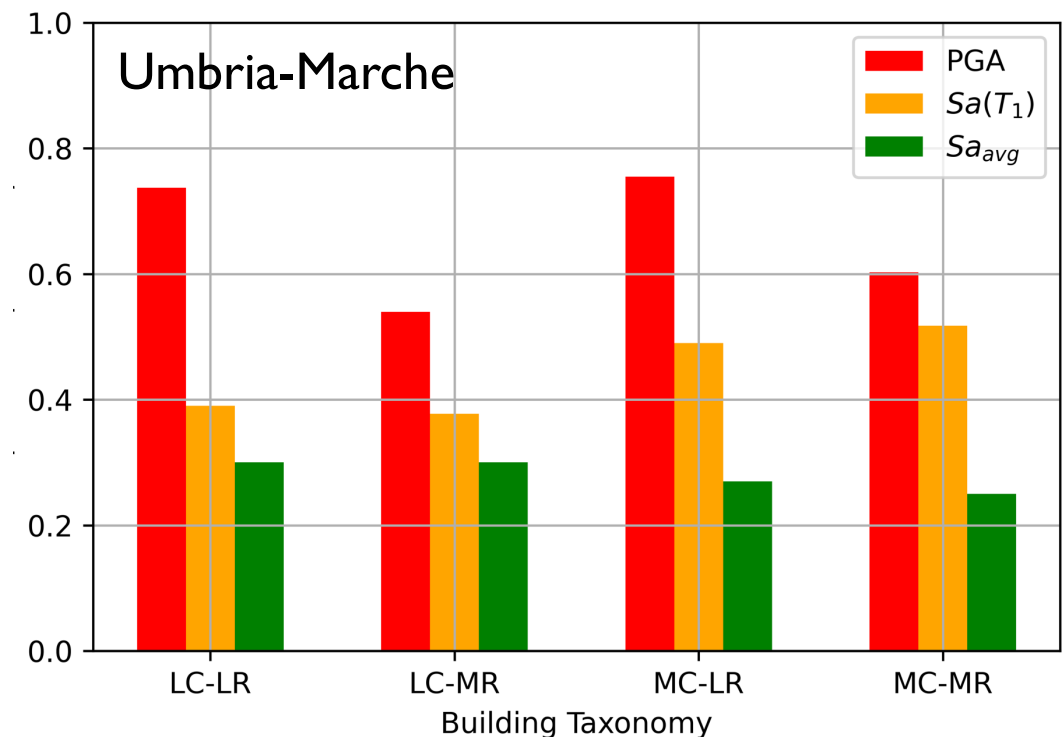
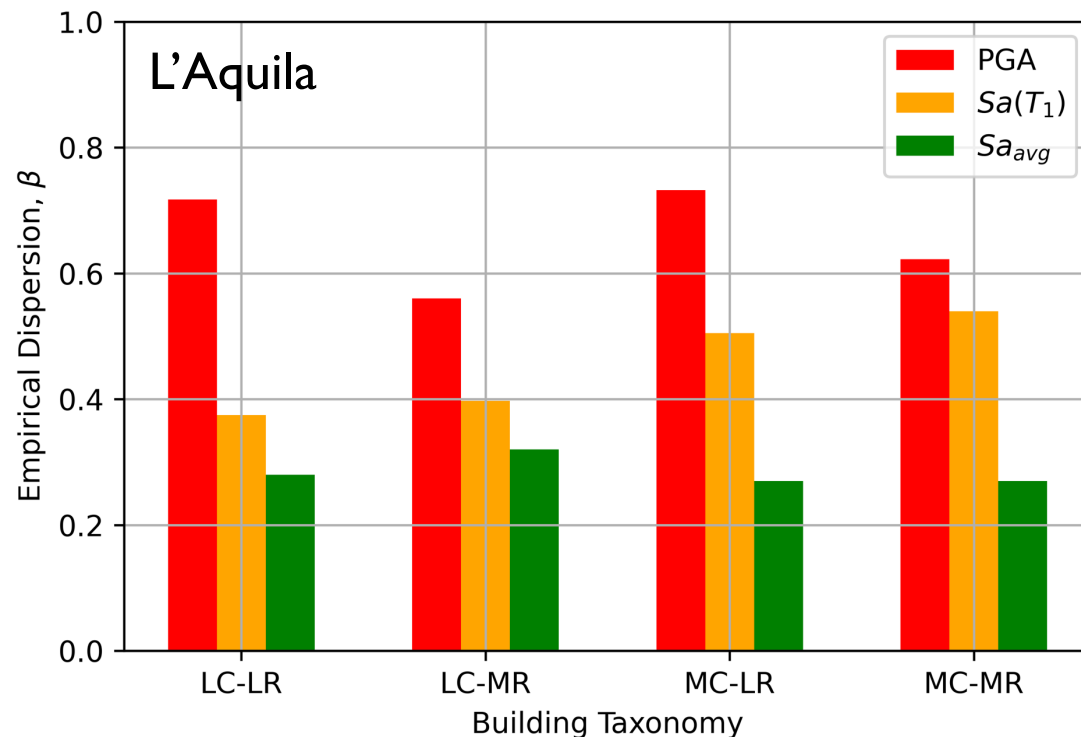
$$P(D|S > ds_i, IM = im_j) = \binom{n_j}{y_{ij}} p_{ij}^{y_{ij}} [1 - p_{ij}]^{(n_j - y_{ij})}$$

- Maximum likelihood method and a unique constant dispersion value, β , is assumed for all damage states to prevent intersecting fragility curves

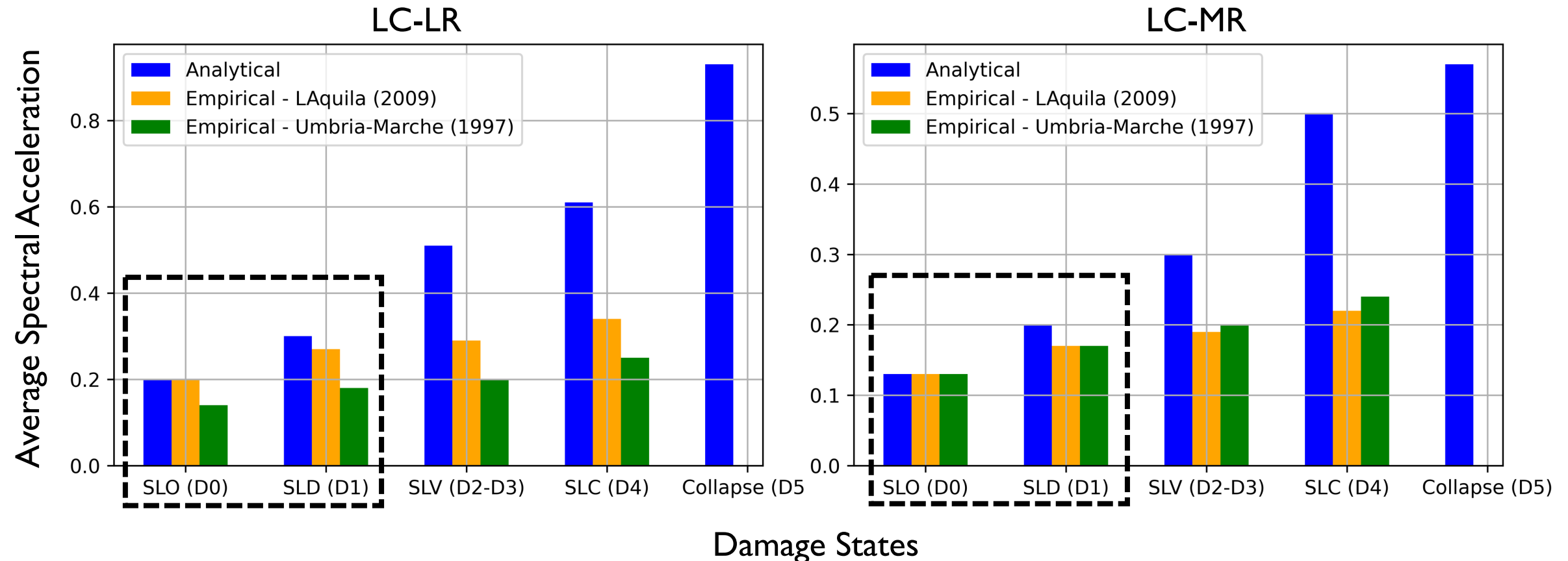
$$\eta_{DS_i}, \beta_{DS_i} = \operatorname{argmax} \left[\log \left(\prod_{i=1}^{nDS} \prod_{j=1}^N \frac{n_j!}{y_{ij}! (n_j - y_{ij})} p_{ij}^{y_{ij}} (1 - p_{ij})^{(n_j - y_{ij})} \right) \right]$$



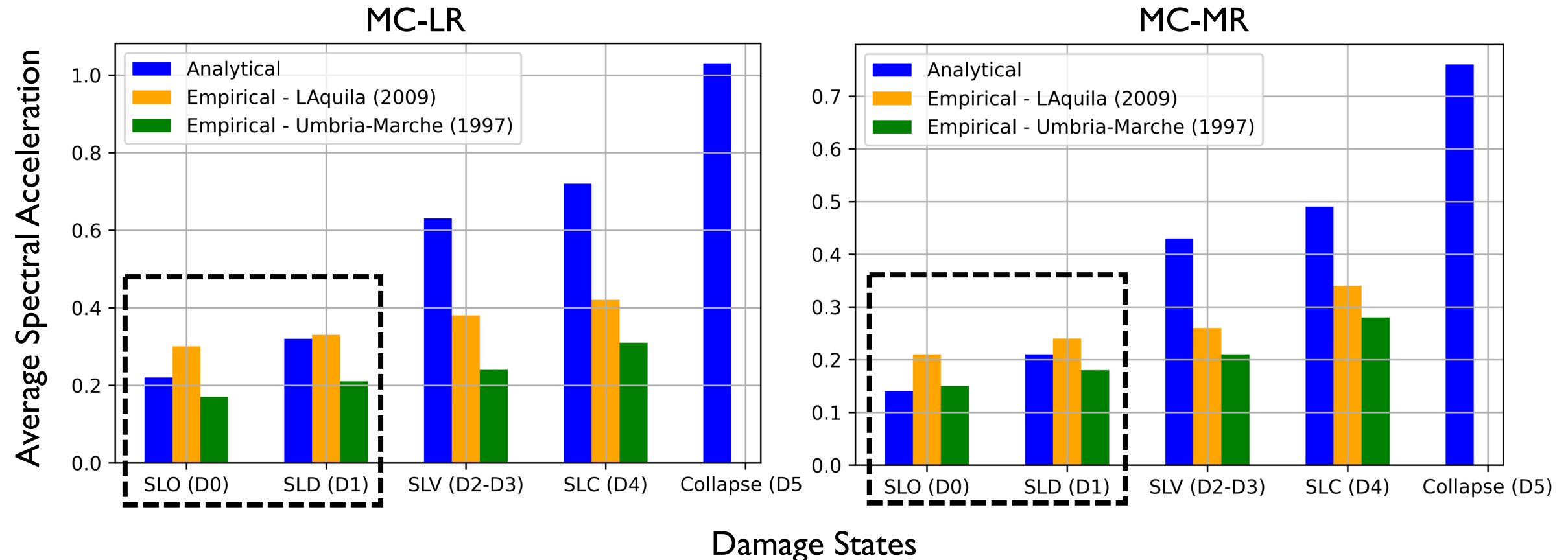
- The dispersion values associated with the fitted empirical Sa_{avg} -based fragilities were compared to dispersions considering conventional IMs such as $Sa(T_1)$ and PGA



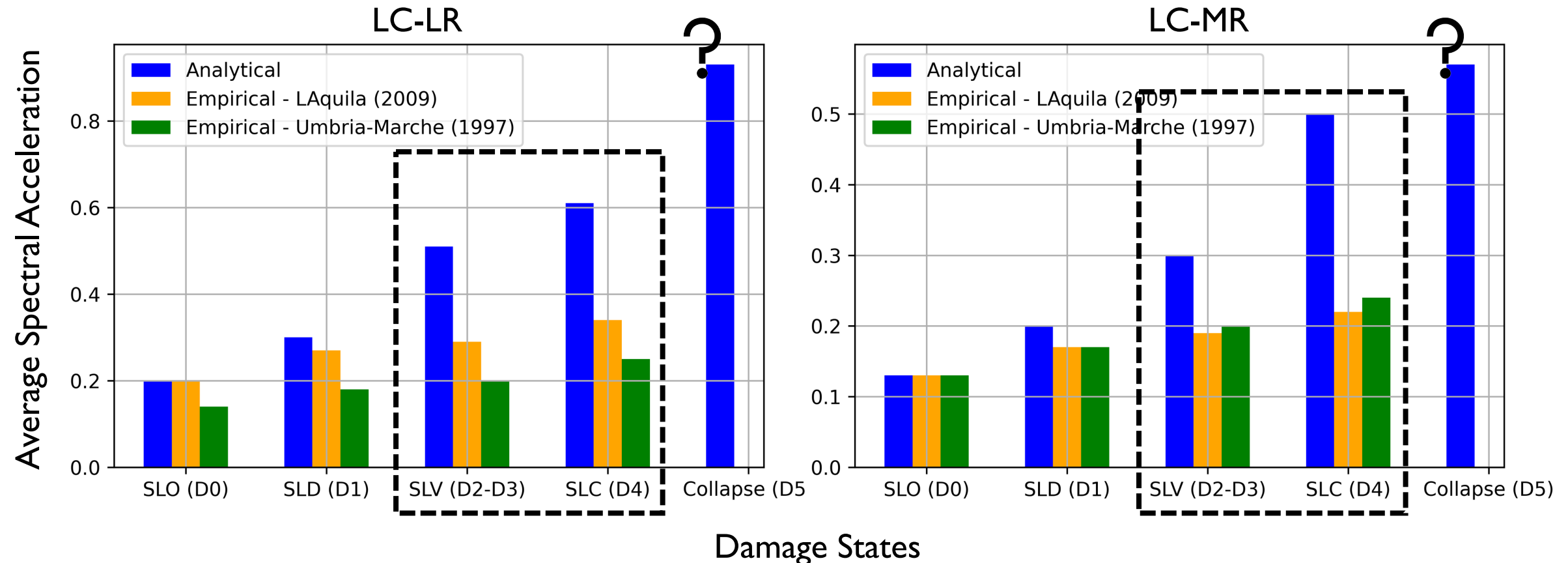
- A good match between analytical and empirical FFs with regards to the serviceability DSs (i.e., operational and damage limitation) was observed, with reasonable errors varying between 0 and 16%.



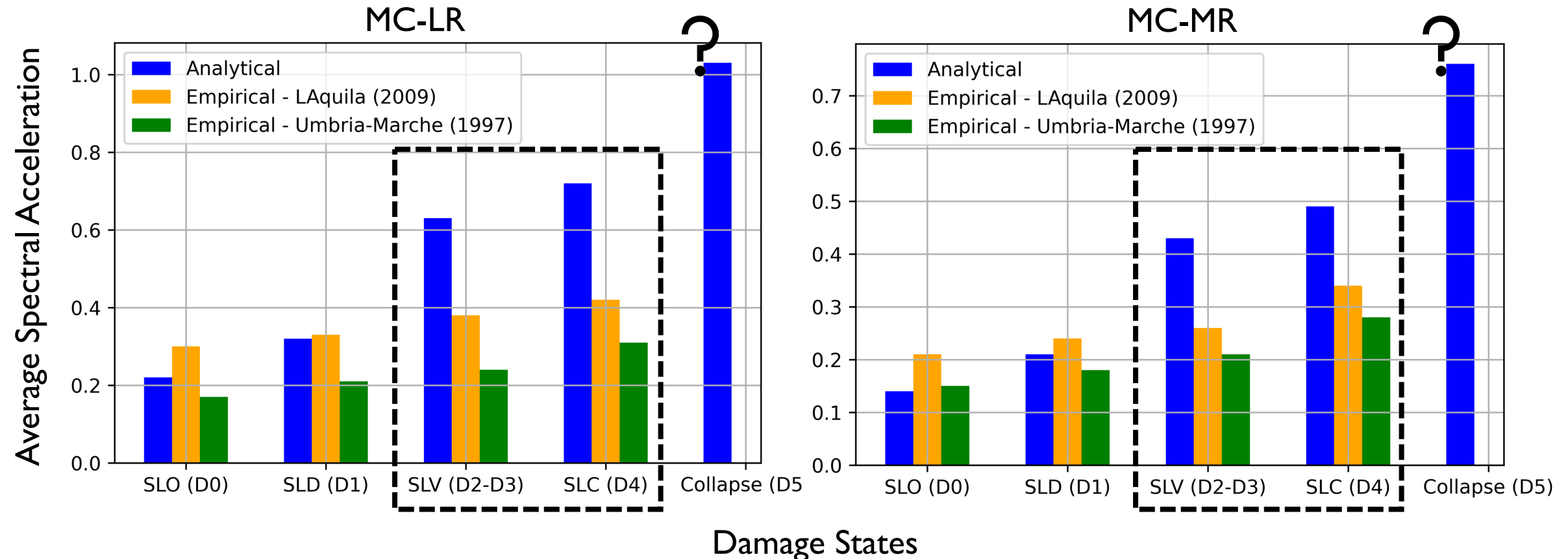
- A good match between analytical and empirical FFs with regards to the serviceability DSs (i.e., operational and damage limitation) was observed, with reasonable errors varying between 0 and 16%.



- For the life-safety and near-collapse DSs, it can be seen that the analytical FFs tended to consistently overestimate the median intensities with respect to the empirical observations



- For the life-safety and near-collapse DSs, it can be seen that the analytical FFs tended to consistently overestimate the median intensities, with respect to the empirical observations



- Similarities and discrepancies may be due to:
 - Quality of data particularly for the 1997 Umbria-Marche earthquake sequences, and the AeDES form before 2002:
 - Inability to encompass all potential structural component types;
 - Equal classification of the seismic behaviour among typologies that appeared similar aesthetically
 - Damage accumulation in buildings following earthquake sequences
 - Data was collected following the conclusion of EQ sequences
 - Highlights the importance of input energy, hysteretic energy dissipation and proper ground motion record selection to characterise response to mainshock-aftershock sequences
 - Uncertainty in the ground-shaking prediction and site conditions (e.g., V_s30)
 - Harmonization in the DS definition between Italian code and macro-seismic scales
 - Bias in data collection due to the differences in DS perception from one evaluator to another

- Simplified pushover-based procedure (PB-Loss) was derived and proposed for the risk- and loss-based assessment and classification of existing non-ductile infilled RC buildings
- The procedure:
 - Integrates state-of-the-art closed-form solutions
 - Probabilistic (due consideration of uncertainty)
 - Reduces significantly the computational demand
 - Offers acceptable levels of accuracy and reliability
 - Reproducible to other building classes
 - Ready for integration with the current Italian guidelines for risk classification of existing buildings

- The proposed approach and its components are available for consultation as published works:
 - Nafeh *et al.* (2020): Equivalent SDOF modelling
 - Nafeh *et al.* (2021): Derivation of empirical ρ - μ -T relationships and archetype database
 - Nafeh *et al.* (2022): Integration of the SAC/FEMA approach with empirical ρ - μ -T relationships for simplified risk estimation
 - Nafeh *et al.* (2023): Derivation of SLFs and PB-Loss procedure

Bulletin of Earthquake Engineering (2020) 18:1579–1611
<https://doi.org/10.1007/s10518-019-00758-2>

ORIGINAL RESEARCH

Simplified seismic assessment

Al Mouayed Bellah Nafeh¹  · Gerard J. O'Reilly^{*}



Soil Dynamics and Earthquake Engineering

journal homepage: www.elsevier.com/locate/soildyn

Unbiased simplified seismic fragility estimation for RC structures

Al Mouayed Bellah Nafeh, Gerard J. O'Reilly^{*}

Contents lists available at [ScienceDirect](http://www.sciencedirect.com)

Bulletin of Earthquake Engineering
<https://doi.org/10.1007/s10518-022-01600-y>

ORIGINAL ARTICLE

Simplified pushover-based seismic methodology for existing infilled frame structures

Al Mouayed Bellah Nafeh¹  · Gerard J. O'Reilly^{*}

Bulletin of Earthquake Engineering
<https://doi.org/10.1007/s10518-023-01792-x>

ORIGINAL ARTICLE

Simplified pushover-based seismic loss assessment for existing infilled frame structures

Al Mouayed Bellah Nafeh¹  · Gerard J. O'Reilly¹ 



- Analytical and empirical fragility curves were derived for large-scale applications on building portfolios considering:
 - Distinct sub-classes of the infilled RC building class
 - Average spectral acceleration as intensity measures
- Analytical functions were derived considering:
 - Comprehensive database of archetype numerical models
 - Hybrid quantitative damage state definitions based on experimental findings and code-based prescriptions
- Empirical functions were derived considering:
 - Database of observed damage (DaDO) for damage characterization
 - Simulated Sa_{avg} -based ground-motion fields conditioned on station recordings

- Video Presentations on ROSE Centre YouTube Channel



- ROSE Seminar on the Simplified Risk- and Loss-Based Methodology for Building-Specific Assessment: https://www.youtube.com/watch?v=mjh_JaleZgw
- ROSE Seminar on the Fragility Functions of Infilled RC Buildings for Regional Applications: <https://www.youtube.com/watch?v=nAomrS9QdA4>

- Al Mouayed Bellah (Moe) Nafeh
- Seismic Risk Modeller @ GEM Foundation
- E-mail: mouayed.nafeh@globalquakemodel.org



Link to Academic Profile



Link to GEM Profile



Questions

