

# Risk and loss mitigation in seismic design

A review of current methods and future directions

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RESEARCH ON REDUCTION  
OF SEISMIC RISK

# Overview

- Background
- Seismic design: existing methods and emerging trends
- Reflection: a critical review of these
  - Are we getting what we want (or can get)?
- Potential: Can we do more?
  - If so, how and with whom, and with what?
- Closing Remarks

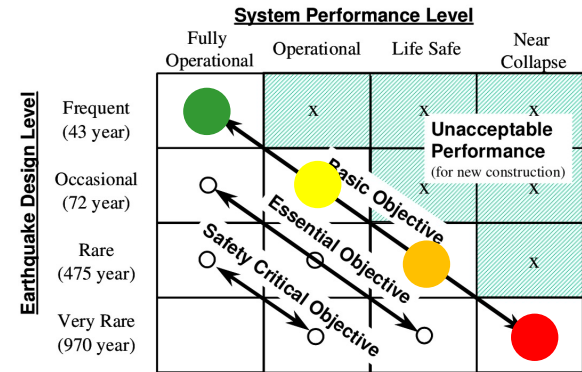
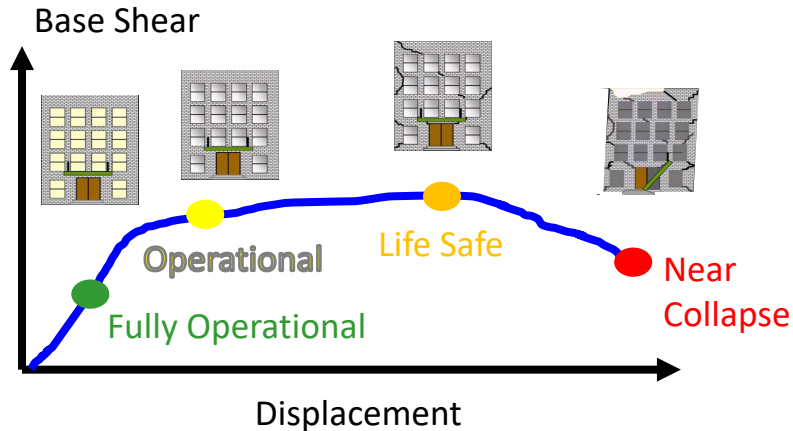
# Introduction

- In risk management, we need to be able to communicate with the decision-makers, building owners and stakeholders
- We strive towards acceptable levels of safety and loss
- This must be quantifiable through risk communication and also insurance terminology
- We need appropriate tools to tackle the issue



# Introduction

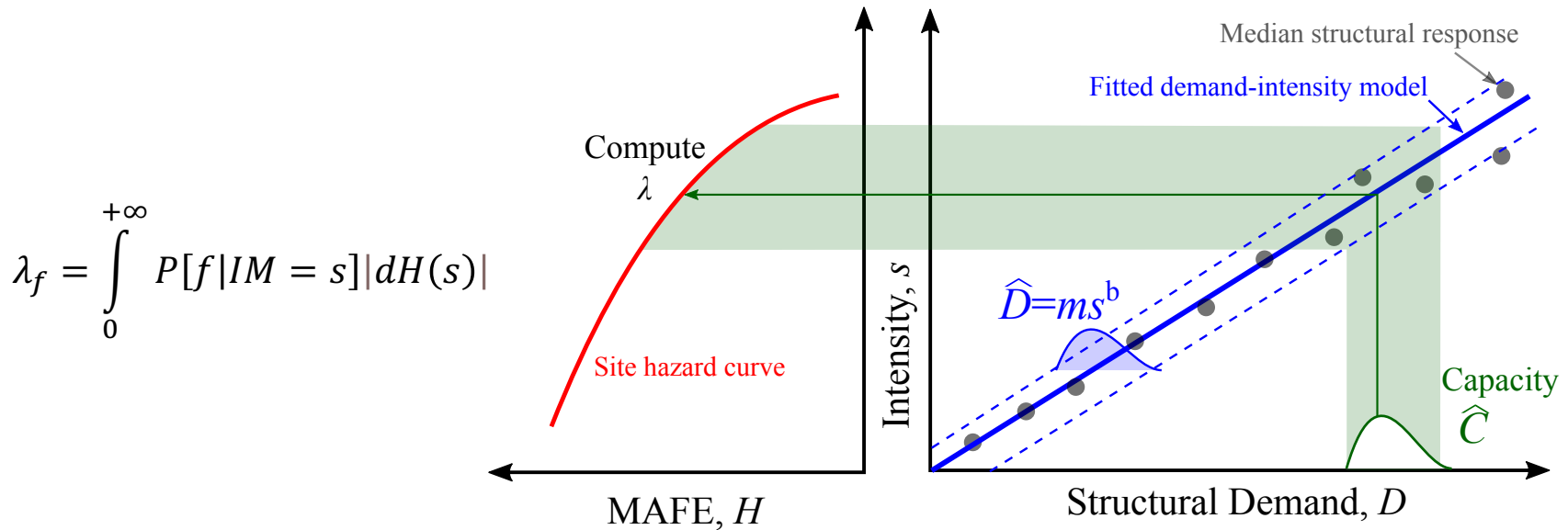
- Seismic performance has traditionally looked at the idea of defining limit states and linking them to returns periods of shaking
- This is the basis of many modern building codes around the world



From SEAOC Vision 2000 document

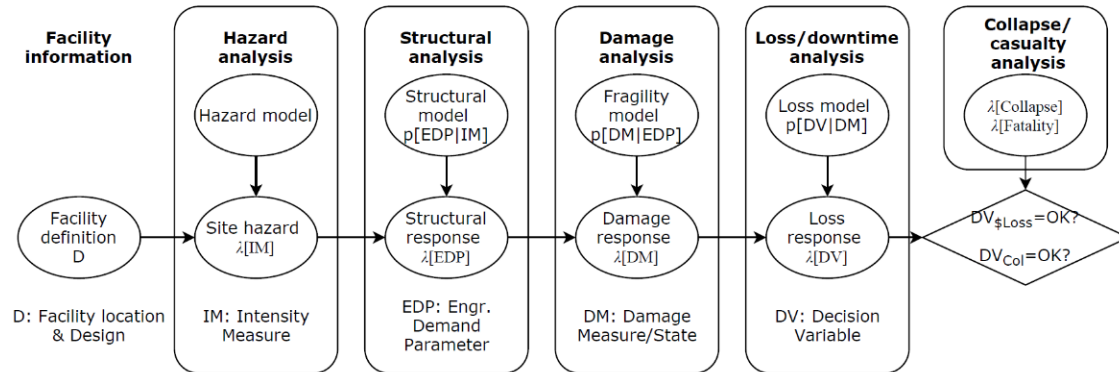
# Introduction

- In recent years, a more probabilistic approach is being favoured
- This is arguably more comprehensive as it considers uncertainty in seismic hazards and structural response



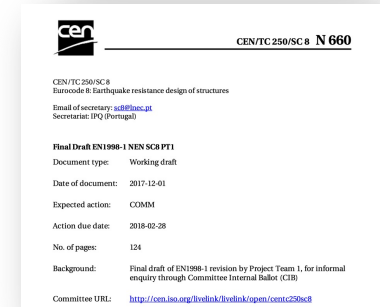
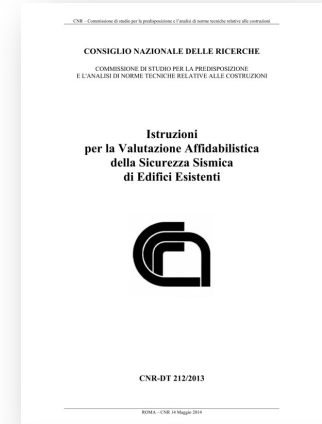
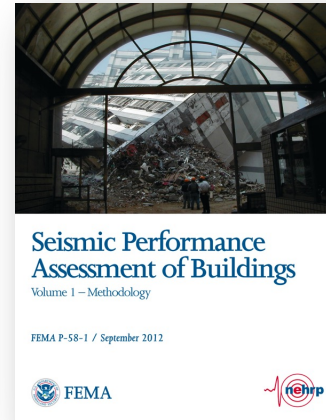
# Introduction

- This modernised approach quantifies the building performance in a **risk** sense
- Its definition of “failure” is flexible, allowing consistent consideration across all pertinent limit states
- It also utilises performance metrics that are useful in other fields:
  - Average annual risk of collapse (or fatality)
  - Average annual loss (direct or indirect?)
  - Downtime



# Introduction

- Popular within academic research or specialised reports rather than widespread code implementation for practitioners to use
- Mainly due to the probabilistic nature of the framework and its computationally expensive implementation in certain situations
- Some examples:
  - CNR-DT 212/2013
  - FEMA P-58 - 2012
  - New version of Eurocode 8 (Annex F)
- If we use these methods and performance metrics, what are the limits or targets ?



# Objective

- Review current code-based approaches and risk-targeted design methods in the literature
- Discuss how these methods may be considered in future approaches to building performance evaluation, integrating novel elements of collapse risk and economic loss limitation
- Possible synergies in engineering and the insurance and risk industries
- How they may benefit from further dialogue and collaboration towards a more resilient society?



# Critical Review

- **Some of notable methods examined:**
  - FBD - force-based design implemented in Eurocode 8 (and others)
  - DDBD – displacement-based design advocated by Priestley et al. (2007)
  - RTBF – risk-targeted behaviour factors by Kennedy and Short (1994) and Cornell (1996)
  - CPBD – conceptual performance-based design by Krawinkler et al. (2006)
  - RTS – risk-targeted spectra by Luco et al. (2007)
  - YFS – yield frequency spectra by Vamvatsikos and Aschheim (2016)
  - RTSA – risk-targeted seismic action by Žižmond and Dolšek (2019)
  - IPBSD – integrated performance-based seismic design by Shahnazaryan and O'Reilly (2021)

*Shahnazaryan D, O'Reilly GJ. Integrating expected loss and collapse risk in performance-based seismic design of structures. Bulletin of Earthquake Engineering 2021; 19(2): 987–1025. DOI: 10.1007/s10518-020-01003-x.*

# Performance objectives (PO)

- Primary quantity that each design method targets, limits or bases itself upon
  - Classic methods focus on a specific structural response at a given return period
  - More recent methods are integrating risk aspects like annual probability or economic loss

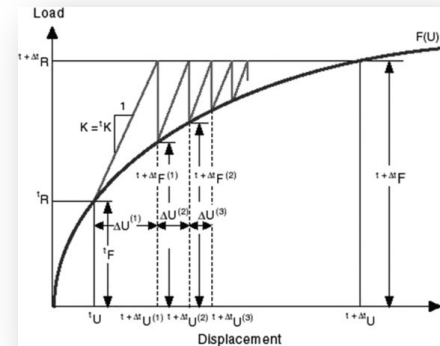
	IPBSD	FBD	DDBD	RTBF	CPBD	RTS	YFS	RTSA-D	RTSA-I
PO	$\lambda_c$ $\lambda_v$	$E[D   T_R]$ $E[R   T_R]$	$E[D   T_R]$	CMR $\lambda_c$	$E[L   T_R]$ $P[C   T_R]$	$\lambda_c$	$\lambda_0$ $\lambda_u$	$\lambda_c$	$\lambda_c$
H	$H(Sa(T))$	UHS	UHS	UHS $H(AvgSa)$	$H(Sa(T_1))$	UHS	$H(Sa(T_1))$	$H(Sa(T_1))$	$H(Sa(T_1))$ & UHS
NL	Assume $\mu$ and $q_s$ and get $q_u$ from SPO2IDA	Traditional $q$ factors	Equivalent viscous damping	Calibrated $q$ factors	NLRHA	Traditional $q$ factors	SPO2IDA	Assume $r_s$ and $\mu_{NC}$ and calculate $C_1$ from IDA	Assume $r_s$ and $\mu_{NC}$ and calculate $C_1$ from IDA (Equivalent $q$ factor)
DD	Moderate	Easy	Easy	Easy	Very Extensive	Easy	Moderate	Extensive	Extensive
FLX	Flexible	Limited	Flexible	Limited	Flexible	Limited	Flexible	Flexible	Flexible
PBEE	Yes	No	No	Yes	Yes	No	Yes	Yes	Yes



# Accounting for non-linearity (NL)

- How ductile structure behaviour is accounted for:
  - Reduce design forces via  $q$ -factors?
  - Use some proxy models?

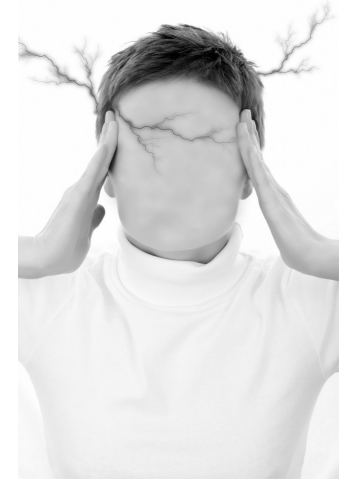
	IPBSD	FBD	DDBD	RTBF	CPBD	RTS	YFS	RTSA-D	RTSA-I
PO	$\lambda_c$ $\lambda_v$	$E[D   T_R]$ $E[R   T_R]$	$E[D   T_R]$	CMR $\lambda_c$	$E[L   T_R]$ $P[C   T_R]$	$\lambda_c$	$\lambda_0$ $\lambda_u$	$\lambda_c$	$\lambda_c$
H	$H(Sa(T))$	UHS	UHS	UHS $H(AveSa)$	$H(Sa(T_1))$	UHS	$H(Sa(T_1))$	$H(Sa(T_1))$	$H(Sa(T_1))$ & UHS
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DD	Moderate	Easy	Easy	Easy	Very Extensive	Easy	Moderate	Extensive	Extensive
FLX	Flexible	Limited	Flexible	Limited	Flexible	Limited	Flexible	Flexible	Flexible
PBEE	Yes	No	No	Yes	Yes	No	Yes	Yes	Yes



# Relative difficulty and directness (DD)

- How difficult the method is – e.g., NLRHA required?
- How direct the method is – e.g., Multiple iterations required?

	IPBSD	FBD	DDBD	RTBF	CPBD	RTS	YFS	RTSA-D	RTSA-I
PO	$\lambda_c$ $\lambda_v$	$E[D   T_R]$ $E[R   T_R]$	$E[D   T_R]$	CMR $\lambda_c$	$E[L   T_R]$ $P[C   T_R]$	$\lambda_c$	$\lambda_0$ $\lambda_u$	$\lambda_c$	$\lambda_c$
H	$H(Sa(T))$	UHS	UHS	UHS $H(AvgSa)$	$H(Sa(T_1))$	UHS	$H(Sa(T_1))$	$H(Sa(T_1))$	$H(Sa(T_1))$ & UHS
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DD	Moderate	Easy	Easy	Easy	Very Extensive	Easy	Moderate	Extensive	Extensive
FLX	Flexible	Limited	Flexible	Limited	Flexible	Limited	Flexible	Flexible	Flexible
PBEE	Yes	No	No	Yes	Yes	No	Yes	Yes	Yes



# Flexibility and PBEE compliant?

- Flexibility - FLX
  - Ease of tailoring design targets beyond what it has been developed for so far
- PBEE
  - Is the method risk-consistent?

	IPBSD	FBD	DDBD	RTBF	CPBD	RTS	YFS	RTSA-D	RTSA-I
PO	$\lambda_c$ $\lambda_v$	$E[D   T_R]$ $E[R   T_R]$	$E[D   T_R]$	CMR $\lambda_c$	$E[L   T_R]$ $P[C   T_R]$	$\lambda_c$	$\lambda_0$ $\lambda_u$	$\lambda_c$	$\lambda_c$
H	$H(Sa(T))$	UHS	UHS	UHS $H(AvgSa)$	$H(Sa(T_1))$	UHS	$H(Sa(T_1))$	$H(Sa(T_1))$	$H(Sa(T_1))$ & UHS
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FLX	Flexible	Limited	Flexible	Limited	Flexible	Limited	Flexible	Flexible	Flexible
PBEE	Yes	No	No	Yes	Yes	No	Yes	Yes	Yes



# Takeaways...

- Progress is being made...
- We are getting away from just structural performance
  - i.e. forces and displacements
- ...and can now talk in terms in risk (at least academically)

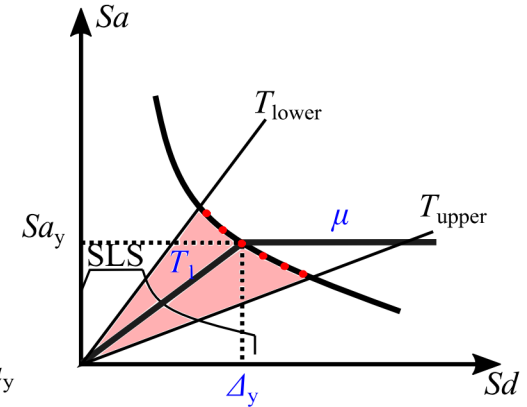
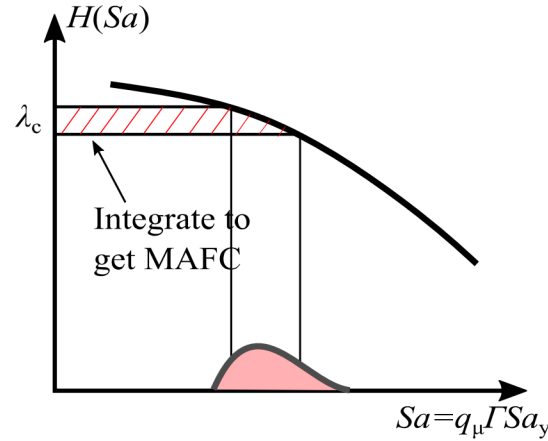
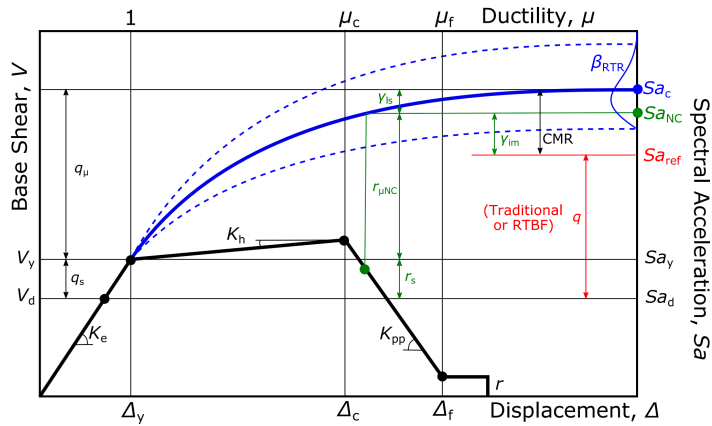


Academia  
Engineers  
Etc.



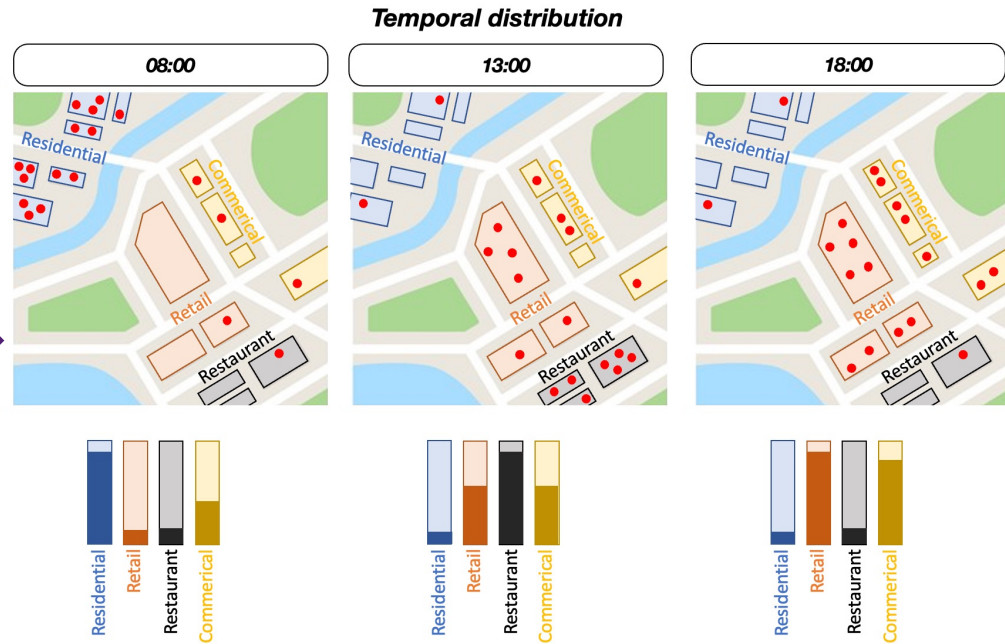
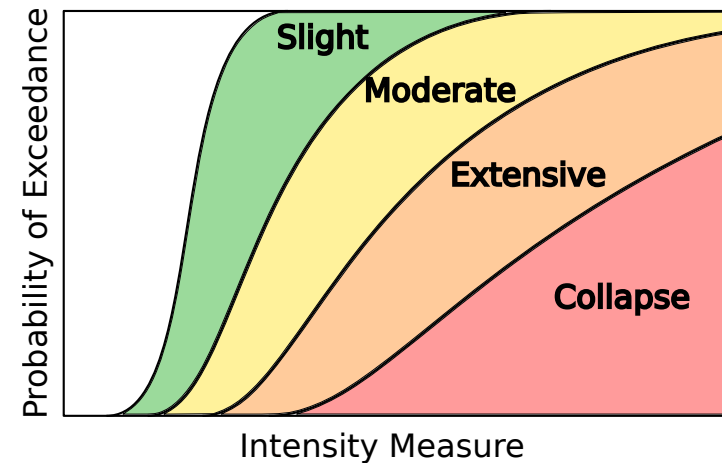
Insurance  
Industry  
etc.

# Collapse risk as a design variable?



- If we know a structural behaviour, we can estimate its collapse fragility function
- Integrate the collapse fragility function with the hazard curve to obtain the collapse risk
- The procedure is applied multiple times to identify a design collapse surface
- Can we do better?

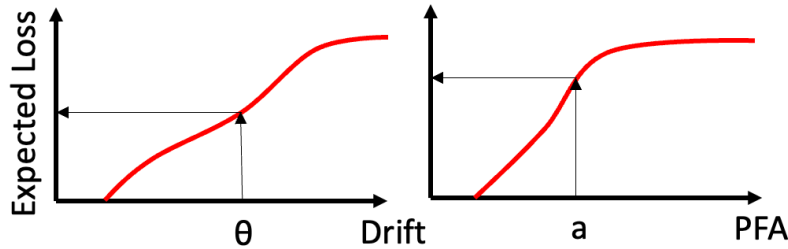
# Collapse fatalities as a design variable?



- We can estimate the risk of collapse of a building
- Can we exploit data on population models to extend to fatalities and use this?



# Estimating economic losses



Open-Source object-oriented toolbox developed on Python and available on GitHub

<https://github.com/davitshahnazaryan3/SLFGenerator>

<https://doi.org/10.5281/zenodo.4897799>

## Storey Loss Function Generator

SLF Name: Project 1

Open Component Data  
Browse a file

Open Correlation Tree  
Browse a file

### Select Correlation Type

Independent  
 Correlated

### Select Regression Function

Weibull  
 Papadopoulos et al. (2019)

### Select EDP Bin Width

PSD bin 0.1 %  
PFA bin 0.05 g

### Monte Carlo Simulations

Number of simulations 20

### Conversion Factor

Conversion factor 1.0

### Replacement Cost

Replacement Cost 1.0

### Apply Performance Grouping

Yes

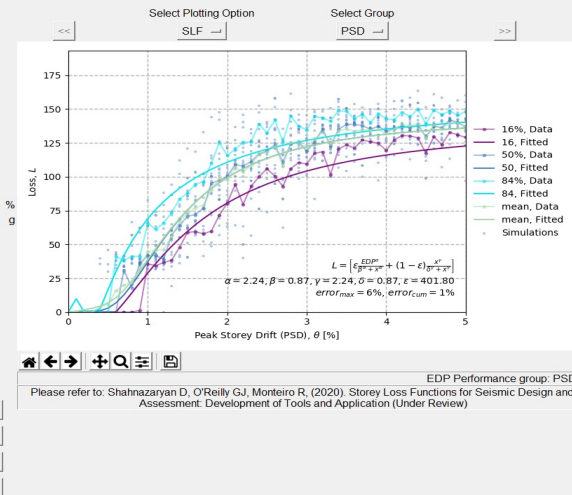
No

Run

Export to pickle

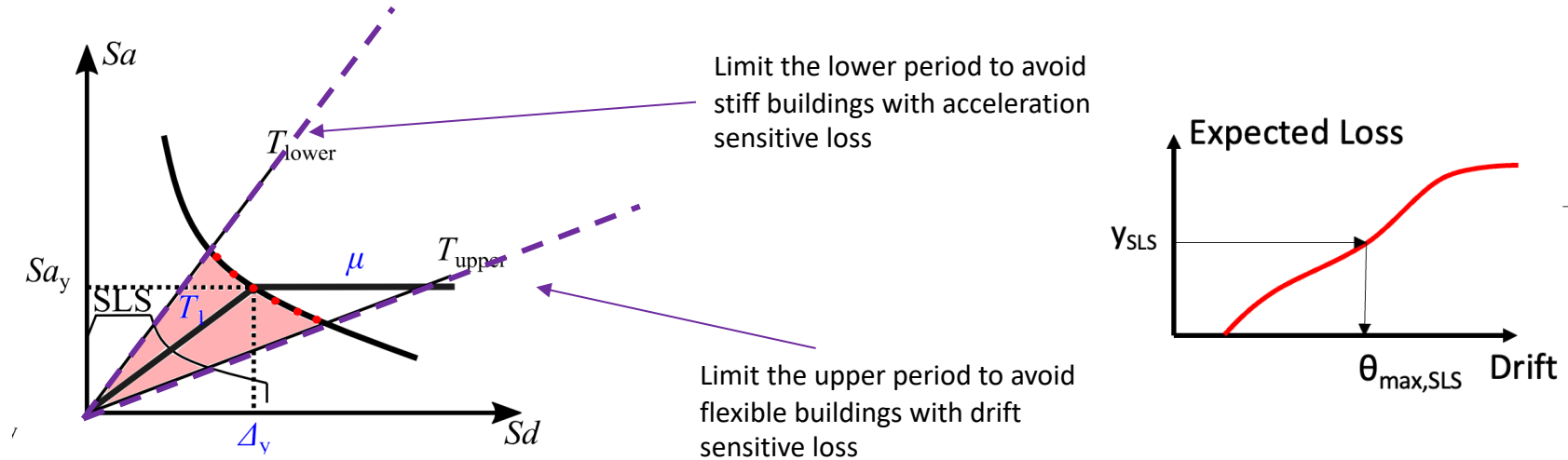
Export to xlsx

Close



- We know the relationships between demands on structures and expected economic losses
- This will vary storey-by-storey and different buildings will have different functions
- Can we try to standardise these for general use?

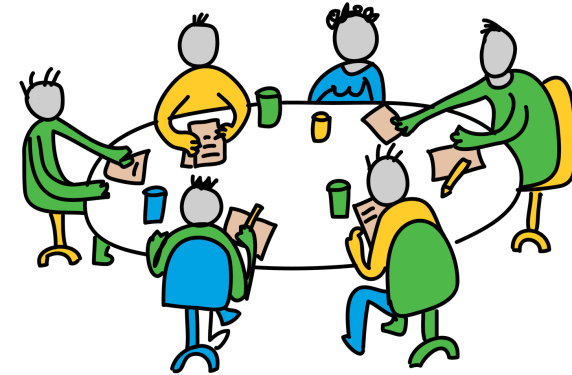
# Economic loss as a design variable?



- We know that:
  - flexible buildings gives drift-sensitive loss
  - stiff buildings give acceleration sensitive loss
- There must be some middle ground and **trade-off**
- If we control the period of vibration of a structure we can control the losses better (using storey loss functions)

# In conclusion...

- This paper presented a review of classic design approaches and methods available in the literature
- Current design methods deal with design without adequately accounting for the probabilistic nature of the problem
- More contemporary risk-based seismic design approaches are available
- There are possible future directions involving collaboration between engineering, financial and risk management sectors
- It is hoped that this kind of discussion could foster further collaboration between sectors and strive towards the common goal of reduced and effectively managed risk



## RESEARCH GOALS

Advancing frontier knowledge on individual issues that contribute to the broader research theme of:

- Loss-driven design and mitigation approaches
- Risk quantification and prioritisation
- Green and sustainable development



### RESEARCH AREAS (RA)

#### HAZARDS

Earthquake

Wind

Geotech/SSI



RA1: Built Environment

RA2: Critical Infrastructures

RA3: Industrial Facilities

RA4: Advanced Technologies

### TA User Groups



Total Budget:  
€11,616,118  
Duration: 4 years  
(01/06/2022 –  
31/05/2026)

The objective of ERIES is to provide transnational access (TA) to research infrastructures to advance frontier knowledge related to seismic, wind and geotechnical hazards

### Project Coordinator



### Research Infrastructures



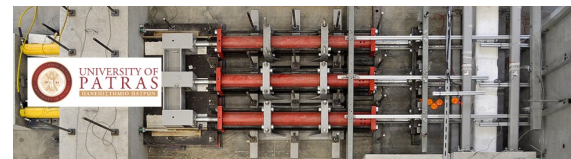
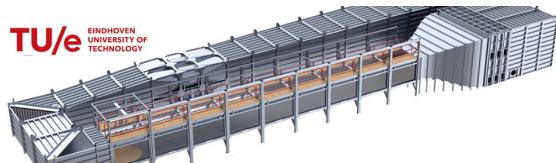
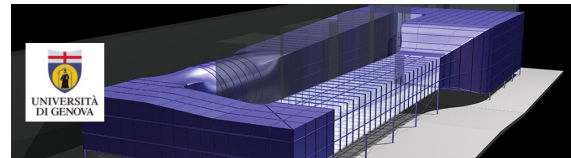
## TA User Groups



World-class experimental research infrastructures include:

- Shaking Tables
- Reaction Walls
- Soil Pits
- Wind Tunnels
- Doppler Lidar Systems
- Hybrid-Simulation Capabilities (Multi-lab)

- External user groups prepare project proposals in line with the goals of ERIES
- They collaborate with ERIES research infrastructures via transnational access
- This means European\* users travel to another country and use the research infrastructures made available as part of ERIES
- Cost of experimental testing in addition to travel and accommodation of user groups are covered



## More information



Applications collected and evaluated at cut-off dates:

- 30 Sept 2022
- 1 Jan 2023 (est.)
- 1 Jun 2023 (est.)
- 1 Nov 2023 (est.)

[www.eries.eu](http://www.eries.eu)