Current and contemporary seismic design methods: a comparative review

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CENTRE FOR TRAINING AND RESEARCH ON REDUCTION OF SEISMIC RISK

- In earthquake engineering, we need to be able to communicate with the decision-makers, building owners and stakeholders
- We strive towards acceptable levels of safety and loss
- This must be quantifiable through risk communication and also insurance terminology
- We need appropriate tools to tackle the issue

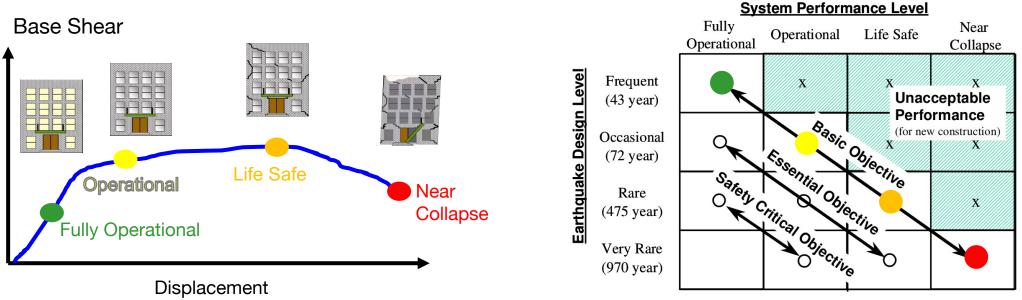




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- Seismic performance has traditionally looked at the idea of defining limit states and linking them to returns periods of shaking
- This is the basis of many modern building codes around the world

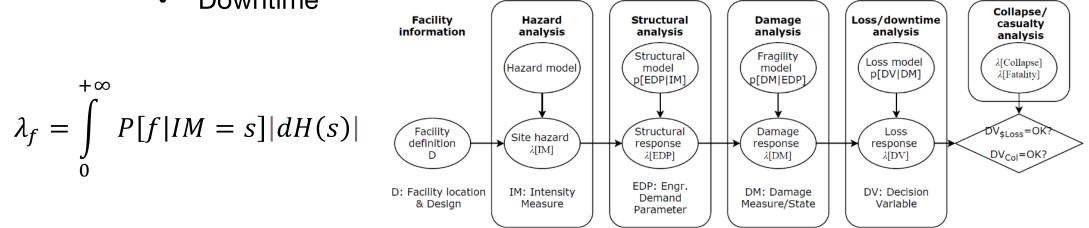


From SEAOC Vision 2000 document



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- In recent years, a more probabilistic approach is being favoured and quantifies the performance in a *risk* sense
- Its definition of "failure" is flexible, allowing consistent consideration across all pertinent limit states
- It also utilises performance metrics that are useful in other fields:
  - Average annual risk of collapse (or fatality)
  - Average annual loss (direct of indirect?)
  - Downtime





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- Popular within academic research or specialised reports rather than widespread code implementation for practitioners to use
- Mainly due to the probabilistic nature of the framework and its computationally expensive implementation in certain situations
- Some examples:
  - CNR-DT 212/2013
  - FEMA P-58 2012
  - New Eurocode 8 (Annex F)
- If we use these methods and performance metrics, what are the limits or targets ?

Seismic Perform Assessment of B	
Volume 1 - Methodology FEMA P-58-1 / September 2012	
<b>FEMA</b>	
FEMA	

	Istruzioni per la Valutazione Affidabilistica della Sicurezza Sismica di Edifici Esistenti
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Committee URL:	http://cen.iso.org/livelink/livelink/open/centc250sc8

CONSIGLIO NAZIONALE DELLE RICERCHE COMMISSIONE DI STUDIO PER LA PREDISPOSIZIONE L'ANALISI DI NORME TECNICHE RELATIVE ALLE COSTRUZION



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# **Current design approaches**

- Force-based design (FBD) methods
  - Eurocode 8
    - 475 and 95 years for no-collapse and damage limitation
  - New Zealand's NZS1170
    - 500 and 25 years for ultimate and serviceability limit states
  - ASCE 7-16
    - Using a risk-targeted spectrum (see later)







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7-16

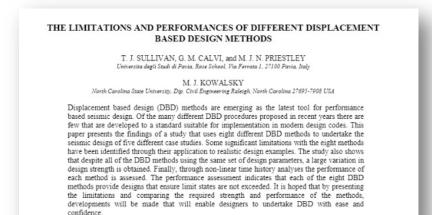
Minimum Design Loads and Associated Criteria for

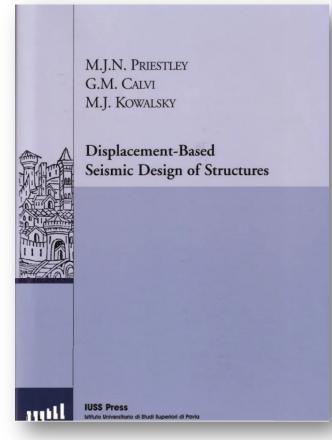
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### **Current design approaches**

- FBD not reasonable to quantify expected ductility and spectral demand reduction via unique behaviour factors
- Priestley et al. (2007) proposed using ductility- and typologydependent spectral reduction approach
- This was the so-called displacement-based design (DBD) approach
- FBD and DBD solutions may be refined to be more in line with risk-targeted objectives







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#### **Critical Review**

- Some of the more notable methods available are examined:
  - FBD force-based design implemented in Eurocode 8 (and others)
  - DDBD displacement-based design advocated by Priestley et al. (2007)
  - RTBF risk-targeted behaviour factors by Kennedy and Short (1994) and Cornell (1996)
  - CPBD conceptual performance-based design by Krawinkler et al. (2006)
  - RTS risk-targeted spectra by Luco et al. (2007)
  - YFS yield frequency spectra by Vamvatsikos and Aschheim (2016)
  - RTSA risk-targeted seismic action by Žižmond and Dolšek (2019)
  - IPBSD integrated performance-based seismic design by Shahnazaryan and O'Reilly (2021)



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# **Performance objectives (PO)**

- Primary quantity that each design method targets, limits or bases itself upon
  - Classic methods focus on a specific structural response at a given return period
  - More recent methods are integrating risk aspects like annual probability or economic loss

	IPBSD	FBD	DDBD	RTBF	CPBD	RTS	YFS	RTSA-D	RTSA-I
РО	$\lambda_c$ $\lambda_v$	$E[D \mid T_{\rm R}]$ $E[R \mid T_{\rm R}]$	$E[D \mid T_{\rm R}]$	$CMR \\ \lambda_c$	$E[L \mid T_{\rm R}]$ $P[C \mid T_{\rm R}]$	$\lambda_{c}$	$egin{array}{c} \lambda_{ extsf{ heta}}\ \lambda_{ extsf{ heta}} \end{array}$	$\lambda_{c}$	$\lambda_{c}$
Н	H(Sa(T))	UHS	UHS	UHS H(AvgSa)	$H(Sa(T_1))$	UHS	$H(Sa(T_1))$	$H(Sa(T_1))$	$\frac{H(Sa(T_1))}{\& \text{ UHS}}$
NL	Assume $\mu$ and $q_s$ and get $q_{\mu}$ from SPO2IDA	Traditional q factors	Equivalent viscous damping	Calibrated q factors	NLRHA	Traditional q factors	SPO2IDA	Assume $r_s$ and $\mu_{NC}$ and calculate $C_1$ from IDA	Assume $r_s$ and $\mu_{NC}$ and calculate $C_1$ from IDA (Equivalent <i>q</i> factor)
DD	Moderate	Easy	Easy	Easy	Very Extensive	Easy	Moderate	Extensive	Extensive
FLX	Flexible	Limited	Flexible	Limited	Flexible	Limited	Flexible	Flexible	Flexible
PBEE	Yes	No	No	Yes	Yes	No	Yes	Yes	Yes

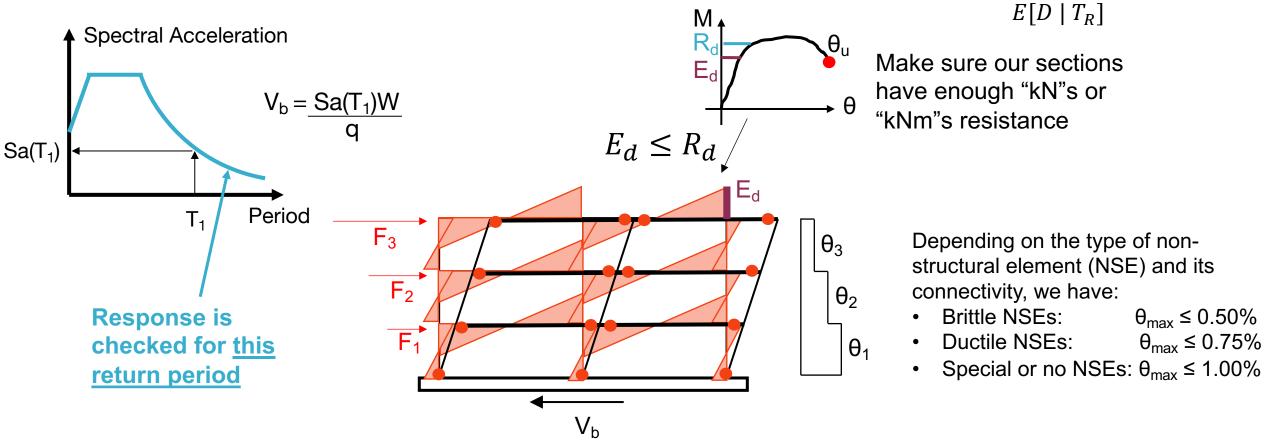




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### **Performance objectives (PO)**

- Starting first with the classic methods, what are the performance objectives?
- These are typically the expected response at known return periods ( $T_R$ ):  $E[R | T_R]$

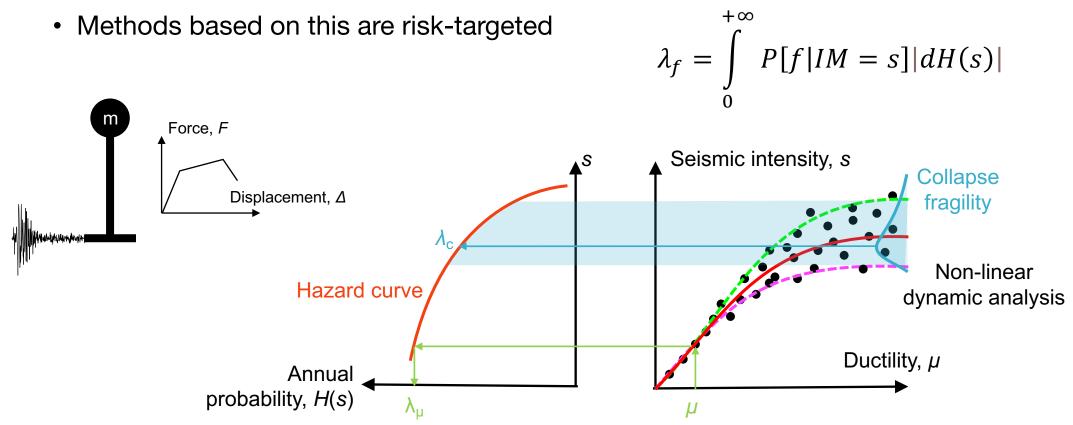




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# **Performance objectives (PO)**

- More novel methods consider the performance across many intensity levels
- They integrate with the hazard curve to get the annual probability



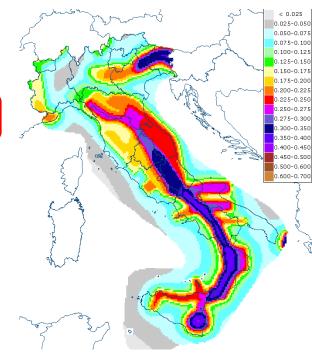


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# Hazard (H)

- How seismicity is characterized during design
  - Several of the methods employ uniform hazard spectrum (UHS)
  - Others use a hazard curve associated with an intensity measure,  $H(\cdot)$

	IPBSD	FBD	DDBD	RTBF	CPBD	RTS	YFS	RTSA-D	RTSA-I
РО	$\lambda_c$ $\lambda_v$	$E[D \mid T_{R}]$ $E[R \mid T_{R}]$	$E[D \mid T_{\mathbb{R}}]$	CMR $\lambda_c$	$E[L \mid T_{\rm R}]$ $P[C \mid T_{\rm R}]$	$\lambda_{ m c}$	$\lambda_{ extsf{ heta}} \ \lambda_{ extsf{\mu}}$	$\lambda_{c}$	$\lambda_{ m c}$
Н	H(Sa(T))	UHS	UHS	UHS H(AvgSa)	$H(Sa(T_1))$	UHS	$H(Sa(T_1))$	$H(Sa(T_1))$	$\frac{H(Sa(T_1))}{\& \text{ UHS}}$
NL	Assume $\mu$ and $q_s$ and get $q_{\mu}$ from SPO2IDA	Traditional q factors	Equivalent viscous damping	Calibrated q factors	NLRHA	Traditional q factors	SPO2IDA	Assume $r_s$ and $\mu_{NC}$ and calculate $C_1$ from IDA	Assume $r_s$ and $\mu_{NC}$ and calculate $C_1$ from IDA (Equivalent q factor)
DD	Moderate	Easy	Easy	Easy	Very Extensive	Easy	Moderate	Extensive	Extensive
FLX	Flexible	Limited	Flexible	Limited	Flexible	Limited	Flexible	Flexible	Flexible
PBEE	Yes	No	No	Yes	Yes	No	Yes	Yes	Yes

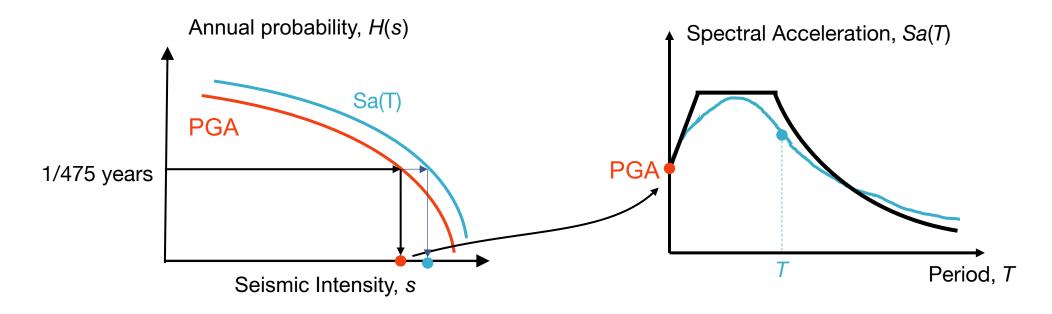




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# Hazard (H)

- The main difference here is whether we should consider the performance across all intensities via the hazard curve
- Or simply choose a few and check for those
- Are simplified code expressions for UHS actually representative of hazard analysis?

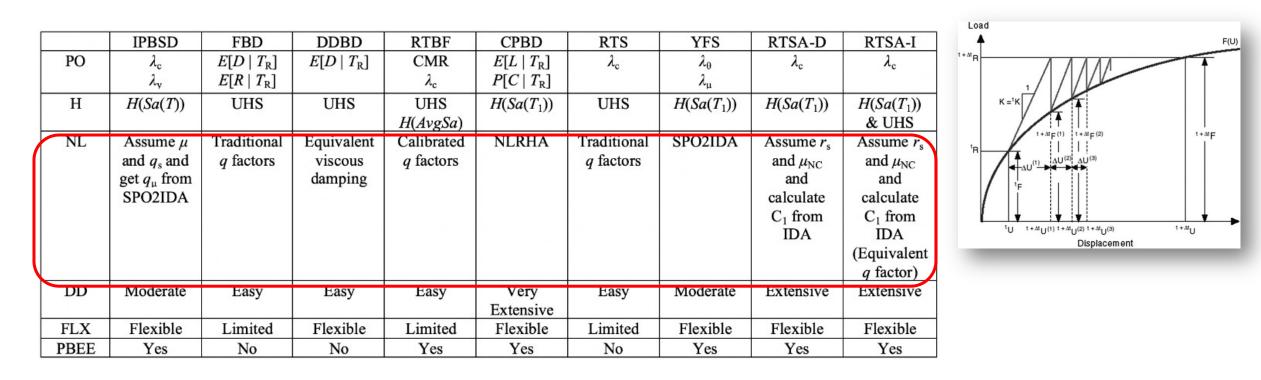




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# Accounting for non-linearity (NL)

- How ductile structure behaviour is accounted for:
  - Reduce design forces via q-factors?
  - Use some proxy models?

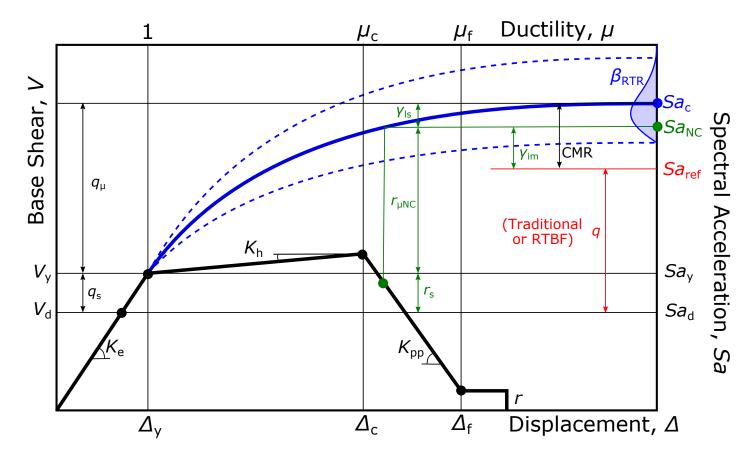




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## Accounting for non-linearity (NL)

• One of the biggest challenges in simplified seismic design is how to relate the non-linear response of the system to its elastic SDOF equivalent





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### **Relative difficulty and directness (DD)**

- How difficult the method is e.g., NLRHA required?
- How direct the method is e.g., Multiple iterations required?

	IPBSD	FBD	DDBD	RTBF	CPBD	RTS	YFS	RTSA-D	RTSA-I
PO	$\lambda_{c}$ $\lambda_{v}$	$E[D \mid T_{\rm R}]$ $E[R \mid T_{\rm R}]$	$E[D \mid T_{\rm R}]$	$CMR \\ \lambda_c$	$E[L \mid T_{\rm R}]$ $P[C \mid T_{\rm R}]$	$\lambda_{c}$	$\lambda_{ extsf{ heta}} \ \lambda_{ extsf{ mu}}$	$\lambda_{c}$	$\lambda_{c}$
Н	H(Sa(T))	UHS	UHS	UHS H(AvgSa)	$H(Sa(T_1))$	UHS	$H(Sa(T_1))$	$H(Sa(T_1))$	$H(Sa(T_1))$ & UHS
NL	Assume $\mu$ and $q_s$ and get $q_{\mu}$ from SPO2IDA	Traditional q factors	Equivalent viscous damping	Calibrated q factors	NLRHA	Traditional q factors	SPO2IDA	Assume $r_s$ and $\mu_{NC}$ and calculate $C_1$ from IDA	Assume $r_s$ and $\mu_{NC}$ and calculate $C_1$ from IDA (Equivalent <i>a</i> factor)
DD	Moderate	Easy	Easy	Easy	Very Extensive	Easy	Moderate	Extensive	Extensive
FLX	Flexible	Limited	Flexible	Limited	Flexible	Limited	Flexible	Flexible	Flexible
PBEE	Yes	No	No	Yes	Yes	No	Yes	Yes	Yes





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# Flexibility and PBEE compliant?

- Flexibility FLX
  - Ease of tailoring design targets beyond what it has been developed for so far
- PBEE
  - Is the method risk-consistent?

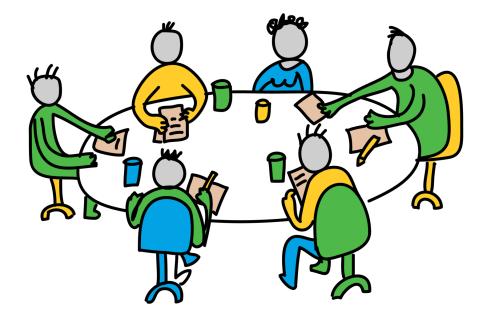
	IPBSD	FBD	DDBD	RTBF	CPBD	RTS	YFS	RTSA-D	RTSA-I		
PO	$\lambda_{c}$	$E[D \mid T_{R}]$	$E[D \mid T_{R}]$	CMR	$E[L \mid T_R]$	$\lambda_{\rm c}$	$\lambda_{0}$	$\lambda_{c}$	$\lambda_{c}$		
	$\lambda_{v}$	$E[R \mid T_R]$		$\lambda_{c}$	$P[C \mid T_{\rm R}]$		$\lambda_{\mu}$				
Н	H(Sa(T))	UHS	UHS	UHS	$H(Sa(T_1))$	UHS	$H(Sa(T_1))$	$H(Sa(T_1))$	$H(Sa(T_1))$		
· · · · · · · ·				H(AvgSa)					& UHS		
NL	Assume $\mu$	Traditional	Equivalent	Calibrated	NLRHA	Traditional	SPO2IDA	Assume $r_s$	Assume $r_s$		
	and $q_s$ and	q factors	viscous	q factors		q factors		and $\mu_{\rm NC}$	and $\mu_{\rm NC}$	1	
	get $q_{\mu}$ from		damping					and	and		/
	SPO2IDA							calculate	calculate	EFFECTIVENESS	/
								$C_1$ from	$C_1$ from	EFFECTIONESS	/
								IDA	IDA		
									(Equivalent		
	3.1. mie								q factor)		/
DD	Moderate	Easy	Easy	Easy	Very	Easy	Moderate	Extensive	Extensive		
					Extensive					~	SIMPLICITY
FLX	Flexible	Limited	Flexible	Limited	Flexible	Limited	Flexible	Flexible	Flexible		Simplicity
PBEE	Yes	No	No	Yes	Yes	No	Yes	Yes	Yes		



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# In conclusion...

- This paper presented a review of classic design approaches and methods available in the literature
- Current design methods deal with design without adequately accounting for the probabilistic nature of the problem
- More contemporary risk-based seismic design approaches are available





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